

*Highlights (for review)

- Measurement and source apportionment of $PM_{2.5}$ were performed during the 2015 Spring Festival (SF).
- Vehicular emission and secondary aerosol formation were identified as the dominant sources before the SF.
- During the SF fireworks were the largest contributor to the $PM_{2.5}$, accounting for 30%.
- Secondary aerosols became predominant after the SF with a contribution of 44% to the $PM_{2.5}$.
- Fireworks may pose a serious health problem to local residents.

1 **Characterization and source identification of fine particulate matter**
2 **in urban Beijing during the 2015 Spring Festival**

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20 **Abstract**

21 The Spring Festival (SF) is the most important holiday in China for family reunion and
22 tourism. During the 2015 SF an intensive observation campaign of air quality was conducted to
23 study the impact of the anthropogenic activities and the dynamic characteristics of the sources.
24 During the study period, pollution episodes frequently occurred with 12 days exceeding the
25 Chinese Ambient Air Quality Standards for 24-h average $PM_{2.5}$ ($75 \mu\text{g}/\text{m}^3$), even 8 days with
26 exceeding $150 \mu\text{g}/\text{m}^3$. The daily maximum $PM_{2.5}$ concentration reached $350 \mu\text{g}/\text{m}^3$ while the
27 hourly minimum visibility was less than 0.8 km. Three pollution episodes were selected for
28 detailed analysis including chemical characterization and diurnal variation of the $PM_{2.5}$ and its
29 chemical composition, and sources were identified using the Positive Matrix Factorization model.
30 The first episode occurring before the SF was characterized by more formation of SO_4^{2-} and NO_3^-
31 and high crustal enrichment factors for Ag, As, Cd, Cu, Hg, Pb, Se and Zn and six categories of
32 pollution sources were identified, whereby vehicle emission contributed 35% to the $PM_{2.5}$. The
33 second episode occurring during the SF was affected heavily by large-scale firework emissions,
34 which led to a significant increase in SO_4^{2-} , Cl⁻, OC, K and Ba; these emissions were the largest
35 contributor to the $PM_{2.5}$ accounting for 30%. During the third episode occurring after the SF, SO_4^{2-} ,
36 NO_3^- and NH_4^+ and OC were the major constituents of the $PM_{2.5}$ and the secondary source was the
37 dominant source with a contribution of 44%. The results provide a detailed understanding on the
38 variation in occurrence, chemical composition and sources of the $PM_{2.5}$ as well as of the gaseous
39 pollutants affected by the change in anthropogenic activities in Beijing throughout the SF. They
40 highlight the need for limiting the firework emissions during China's most important traditional
41 festival.

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43 **Keywords:** $PM_{2.5}$, firework emissions, source apportionment

44 1. Introduction

45 With the rapid development of economy, industrialization and urbanization during recent
46 decades, air pollution has become a serious problem, which needs to be solved in China. Fine
47 particulate matter (particulate matter with aerodynamic diameter less than 2.5 μm , $\text{PM}_{2.5}$) is the
48 primary pollutant in most cities and attracts worldwide attention because of adverse effects on
49 public health, visibility, air quality, and climate change (Chen et al., 2017; Cohen et al., 2017; Hu
50 et al., 2015a; Lin et al., 2016; Liu et al., 2014b). In recent years, haze, which is closely related to
51 elevated $\text{PM}_{2.5}$ concentrations, occurs frequently throughout China, causing serious health impacts,
52 economic losses, and public complaints. So far, a series of the strictest measures for emission
53 control have been issued and implemented to resolve the air pollution problem by the Chinese
54 government from October 2013 (http://english.mep.gov.cn/News_service/news_release/index_26.shtml). The annual mean $\text{PM}_{2.5}$ concentrations in 2015 ranged from 22 to 107 $\mu\text{g}/\text{m}^3$ among the
55 74 key cities with an average value of 55 $\mu\text{g}/\text{m}^3$, exceeding the Chinese Ambient Air Quality
56 Standards (CAAQS) Grade II annual mean of 35 $\mu\text{g}/\text{m}^3$ (Beijing environmental statement, 2015).
57 The Beijing-Tianjin-Hebei region (BTH) is one of the most polluted areas, with an annual average
58 $\text{PM}_{2.5}$ concentration of 77 $\mu\text{g}/\text{m}^3$ in 2015, approximately 2.2 times the CAAQS II threshold and
59 5.1 times the secondary air quality standard of the United States (annual mean: 15 $\mu\text{g}/\text{m}^3$)
60 ([https://www.epa.gov/pm-pollution/table-historical-particulate-matter-pm-national-ambient-air-qu](https://www.epa.gov/pm-pollution/table-historical-particulate-matter-pm-national-ambient-air-quality-standards-naaqs)
61 [ality-standards-naaqs](https://www.epa.gov/pm-pollution/table-historical-particulate-matter-pm-national-ambient-air-quality-standards-naaqs)). Thus, this region still faces severe challenges to mitigate $\text{PM}_{2.5}$ levels to
62 meet both national and international regulations.
63

64 Beijing is the core city in the BTH region with the number of local residents being
65 approximately 22 million and 273 million tourists visiting Beijing in 2015. As the capital city of
66 China, the severe air pollution is of great concern because of its adverse health impacts on
67 millions of local residents and foreigners working and travelling here (Guo et al., 2017).
68 Significant emission control efforts have been made especially targeting key sources of concern to
69 the reduce air pollution levels in Beijing. Although large improvement in air quality was made
70 during important events, including the 2008 Olympic Games, the 2014 Asia-Pacific Economic
71 Cooperation conference and the 2015 China Victory Parade Day (Jia et al., 2011; Wang et al.,
72 2017; Wang et al., 2016; Xie et al., 2017), the $\text{PM}_{2.5}$ concentration did not decline substantially in

73 autumn and winter and the annual mean concentration was still approximately $80 \mu\text{g}/\text{m}^3$ in 2015
74 (Beijing environmental statement, 2015). In particular, Beijing suffered 42 days of severe air
75 pollution with an average value of $239 \mu\text{g}/\text{m}^3$ in 2015. This indicated that current control measures
76 might not be effective and that emission control efforts need to focus more accurately on the
77 predominant sources during the pollution episodes. To further improve the air quality, it is
78 necessary to characterize the $\text{PM}_{2.5}$ pollution episodes and to identify the sources of the $\text{PM}_{2.5}$.

79 Numerous studies have been initiated to investigate the evolution of the chemical
80 composition of $\text{PM}_{2.5}$ and to identify the sources in Beijing (Hu et al., 2015b; Yu et al., 2013;
81 Zhang et al., 2013). However, most source apportionment studies were based on continuous filter
82 sampling (12 h or 24 h) and offline laboratory analysis (Liu et al., 2017a; Wang et al., 2016; Yao et
83 al., 2016; Zong et al., 2016). The results reflected the average source contributions over different
84 observation periods but could not shed light on the detailed variation of the air pollutants and the
85 dynamic characteristics of the sources during severe pollution episodes, which last for short
86 periods of less than one week. Thus, it is of great significance to analyze $\text{PM}_{2.5}$ and its chemical
87 compositions in detail during severe episodes using near real-time data from state-of-the-art
88 devices such as the Aerosol Mass Spectrometers (Elser et al., 2016), rapid collection of
89 particles-ion chromatography systems (RCFP-IC) (Wen et al., 2006), semi-online OC and EC
90 analyzers (Ji et al., 2016) and online multi-metal monitors (Gao et al., 2016), which used in
91 combination allow one to determine the sources of the $\text{PM}_{2.5}$ (Gao et al., 2016; Jeong et al., 2016).

92 The Spring Festival (SF) is the most important holiday in China for family reunion and
93 tourism. Extremely heavy traffic always occurs during this period because plenty of migrants, who
94 work in megacities of China, go to their hometown before the SF and afterwards return back to
95 work (Huang et al., 2012); besides, Beijing attracts approximately 10 million of tourists during the
96 SF (<http://travel.people.com.cn/n/2015/0226/c41570-26599014.html>). Some of the industrial
97 activities are temporarily halted so that the energy consumption decreases accordingly. However,
98 intensive fireworks are held for celebration of the festival and they give rise to degradation in air
99 quality (Huang et al., 2012; Ji et al., 2017; Kong et al., 2015). Some studies have focused on the
100 effect of the SF holiday on the change in criteria air pollutants (SO_2 , NO_x , CO, O_3 , $\text{PM}_{2.5}$ and PM_{10})
101 (Dong et al., 2014; Jin et al., 2007) or the chemical composition of $\text{PM}_{2.5}$ (Feng et al., 2016;
102 Huang et al., 2012; Kong et al., 2015; Tian et al., 2014; Wang et al., 2015). However, most of the

103 results solely focused on the variation in gaseous pollutants, PM or a special category of chemical
104 species of PM_{2.5} such as water-soluble inorganic ions, carbonaceous aerosols or trace elements and
105 they made use of filter sampling and offline analysis. Thus, the variation in chemical composition
106 and sources, which resulted from the dramatic change in anthropogenic activities including the
107 emissions from the fireworks in a short-term period could not clearly be identified due to the low
108 time resolution of the data or inadequate chemical information (Zou and Yao, 2014).

109 To fill this research gap, PM_{2.5} and its chemical species including water-soluble inorganic
110 ions, carbonaceous species and trace elements as well as major gaseous pollutants were
111 concurrently measured on an hourly basis in Beijing from February 8 to March 2, 2015. The
112 variation in PM_{2.5} and its chemical species were determined, the sources were identified and the
113 impact of the fireworks was evaluated. To our knowledge, this is the first time that near real-time
114 instruments were jointly used to measure PM_{2.5} and its chemical species in urban Beijing during
115 the SF. The results provide valuable information for more effective PM_{2.5} control in Beijing or
116 other megacities in China during holidays.

117 **2. Methodology**

118 **2.1. Sampling site and observation period**

119 The sampling site (39°58'28"N, 116°22'16"E) is located inside the campus of the State Key
120 Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry, Institute of
121 Atmospheric Physics (IAP), Chinese Academy of Sciences, in urban Beijing and is surrounded by
122 busy urban roads (Fig. S1). The number of automotive vehicles in Beijing amounts to
123 approximately 5.62 million and the average vehicular speeds within the urban traffic network were
124 28.1 and 25.1 km/h in 2015 during the morning and evening rush hours, respectively (All the data
125 in this study on traffic, such as the traffic congestion index were obtained from
126 http://www.bjjtw.gov.cn/bmfw/dljtyxqk/201503/t20150303_94290.html). Considering that a large
127 number of industrial enterprises have been moved out of urban Beijing or phased out, there were
128 no immediate industrial sources near the sampling site. However, small industrial sources and
129 their possible operating schedules are unknown. The experimental campaign was conducted from
130 February 8 to March 2, 2015, whereas the SF lasted for 7 days (from February 19 to 25). Air
131 samples were collected at approximately 8 m above the ground. As shown in Table S1, the PM_{2.5}
132 concentrations at this site were significantly correlated with those at the 10 urban national sites,

133 including the Olympic center (Aoti), the Agriculture exhibition center (Nongzng), Dongsì (Dongs),
134 the Temple of heaven (Tiant), Huayuan (Huay), Wanliu (Wanl), Gucheng (Guc), Guanyuan
135 (Guany), Wanshouxigong (Wansxg) and Dingling (Dingl), which suggests that the sampling site is
136 representative for urban Beijing. The locations of all the above sites were introduced in Cheng et
137 al. (2015).

138 **2.2. Instrumentation**

139 To monitor PM_{2.5} use was made of a synchronized hybrid ambient real-time particulate
140 analyzer (Model 5030, Thermo-Fisher Scientific, Massachusetts, USA), which has been approved
141 as a Federal Equivalent Method analyzer by the US EPA. The minimum detectable concentration
142 limit was less than 0.2 µg/m³ for 24 h. Operation, calibration and maintenance were strictly
143 performed according to the instruction manual ([https://tools.thermofisher.com/content/sfs/
144 manuals/EPM-manual-Model%205030%20SHARP.pdf](https://tools.thermofisher.com/content/sfs/manuals/EPM-manual-Model%205030%20SHARP.pdf)).

145 A RCFP-IC system was used to monitor SO₄²⁻, NO₃⁻, Cl⁻, NH₄⁺, K⁺, Na⁺, Ca²⁺ and Mg²⁺
146 hourly in PM_{2.5}. A semi-continuous organic carbon (OC) and elemental carbon (EC) field analyzer
147 (Model 4, Sunset Laboratory Inc., Oregon, USA) with a modified NIOSH-like protocol was
148 employed to obtain hourly OC and EC concentrations in PM_{2.5}. Detailed descriptions of the
149 RCFP-IC system and the semi-continuous OC and EC analyzer were given in Wen et al. (2006)
150 and Ji et al. (2016), respectively.

151 Hourly trace elements concentrations in PM_{2.5} were obtained with an automated multi-metals
152 monitor (Xact 625, Cooper Environmental Services, Oregon, USA). The quantification of the
153 metals including K, Ca, Fe, Ag, Ba, As, Cd, Cu, Cr, Mn, Hg, Ni, V, Se, Zn, Ga, Pb, Co, Sn, Sb, Pd,
154 Au and Tl in PM_{2.5} was based on the US EPA method IO 3.3 using nondestructive
155 energy-dispersive X-ray fluorescence. Operation, calibration and maintenance of the instrument
156 were strictly performed according to its instruction manual ([https://archive.epa.gov/nrmrl
157 /archive-etv/web/pdf/p100cxcl.pdf](https://archive.epa.gov/nrmrl/archive-etv/web/pdf/p100cxcl.pdf)). The stability of the automated multi-metals monitor was
158 checked during every sampling and analysis cycle using an internal Pd rod.

159 Atmospheric temperature (T), relative humidity (RH), wind direction (WD) and wind speed
160 (WS) were obtained using an automatic weather station (Model MILOS 520, VAISALA Inc.,
161 Finland) and atmospheric visibility was recorded via a visibility sensor (Model 6000, Belfort
162 Instrument, Maryland, USA).

163 2.3. Source apportionment

164 Positive Matrix Factorization (PMF), which is an effective mathematical receptor model
165 maintained by US EPA, is used worldwide for source apportionment. The principle and
166 methodology of PMF have been reviewed and described in detail elsewhere (Han et al., 2017;
167 Zíková et al., 2016; Zhang et al., 2013). The goal of the PMF model is to minimize the objective
168 function Q :

$$169 \quad Q = \sum_{i=1}^n \sum_{j=1}^m \left[\frac{x_{ij} - \sum_{k=1}^p g_{ik} f_{kj}}{u_{ij}} \right]^2$$

170 Where x_{ij} and u_{ij} are the concentration and uncertainty of the j th species in the i th sample,
171 f_{kj} is the fraction of the j th species in k th source, g_{ik} indicates the contribution of the k th source
172 to the i th sample, p is the number of sources, and m and n are the total number of species and
173 samples, respectively. In this study, EPA's PMF version 5.0 (Norris et al., 2014) was used for
174 source apportionment of the PM_{2.5}. Ca and K were measured by the automated multi-metals
175 monitor and Ca²⁺ and K⁺ by the RCFP-IC system, but the results from the former were selected
176 for the source apportionment in this study. Thus, the PMF input dataset included PM_{2.5}, Cl⁻, Na⁺,
177 Mg²⁺, NH₄⁺, SO₄²⁻, NO₃⁻, OC, EC, K, Ca, Fe, Ag, As, Cd, Ba, Cr, Cu, Mn, Ni, Hg, V, Se, Pb, and
178 Zn. PM_{2.5} was set as total variable. More information on the PMF as used in this study is given in
179 the Supplementary material. The Q -values, source profiles and scaled residuals distributions were
180 examined to obtain the most reasonable factor solution. The uncertainties of the PMF results were
181 assessed by the displacement (DISP) and bootstrap (BS) error estimation methods with 100 BS
182 runs and a minimum Pearson correlation coefficient of 0.6. In addition, the F_{peak} function was used
183 to control the rotational ambiguity.

184 3. Results and discussion

185 3.1. Variations in air pollutants during the study period

186 Figs. 1(a) and 1(b) depict the hourly PM_{2.5}, SO₂, CO, NO₂ and O₃ concentrations and the
187 hourly values of T, RH, WS, WD and visibility at the sampling site. As shown in Fig. 1(a), there
188 were five typical cyclic accumulation processes of PM_{2.5} recorded during the study period (Ji et al.,
189 2012). High PM_{2.5} concentrations were observed when southerly wind or calm wind prevailed
190 while low concentrations were recorded during cold fronts accompanied by strong wind arriving
191 in Beijing. The hourly concentrations of PM_{2.5} varied from 3 to 430 µg/m³ with an average of

192 115±107 $\mu\text{g}/\text{m}^3$. The daily averaged $\text{PM}_{2.5}$ concentrations ranged from 17 to 350 $\mu\text{g}/\text{m}^3$. There
193 were 12 days for which the CAAQS 24-h Grade II standard for $\text{PM}_{2.5}$ (75 $\mu\text{g}/\text{m}^3$) was exceeded,
194 even 8 days for which 150 $\mu\text{g}/\text{m}^3$ was exceeded. The highest hourly and daily $\text{PM}_{2.5}$
195 concentrations were recorded on February 15 before the SF; they were attributable to a combined
196 effect of unfavorable meteorological conditions, heavy traffic caused by plenty of migrants going
197 to their hometown (From February 4 to 15 the peak traffic congestion index was approximately
198 6.0, indicating that almost all major roads were congested) and residential heating. Compared to
199 other studies conducted during SF periods, the daily average $\text{PM}_{2.5}$ concentration of 115±90 $\mu\text{g}/\text{m}^3$
200 in this study was slightly higher than those in Shanghai (Huang et al., 2012), Nanjing (Kong et al.,
201 2015) and Xinxiang (Feng et al., 2016), but lower than those in Tianjin (Tian et al., 2014),
202 Zhengzhou (Liu et al., 2014a) and Jinan (Yang et al., 2014) (Table S2). The hourly $\text{PM}_{2.5}$
203 concentrations at the IAP showed a similar variation as those observed in Tianjin, Shijiazhuang
204 and Xingtai, which are major cities in the BTH region (Fig. S2), indicating that pollution episodes
205 of a similar pattern occurred in different cities within the region during this period.

206 SO_2 , CO and NO_2 showed a similar temporal variation as $\text{PM}_{2.5}$. The maximum hourly CO
207 concentration, 6.6 mg/m^3 , was observed on February 15 morning while that of NO_2 occurred on
208 February 14 night, possibly because of continuing unfavorable meteorological conditions (low WS
209 and high RH) and increased emissions from the high traffic intensity. The hourly maximum SO_2
210 concentration of 121 $\mu\text{g}/\text{m}^3$ was found at 1:00 on New Year's Eve (February 18); it was associated
211 with firework emissions (Huang et al., 2012). Table S3 shows that SO_2 , CO and NO_2 were
212 significantly correlated with $\text{PM}_{2.5}$. SO_2 exhibited a high positive correlation with $\text{PM}_{2.5}$,
213 suggesting that coal combustion and the firework emissions contributed a lot to the $\text{PM}_{2.5}$ (Ji et al.,
214 2017). In contrast, O_3 was negatively correlated with the $\text{PM}_{2.5}$. That is because high $\text{PM}_{2.5}$
215 concentrations are usually accompanied with accumulation of primary pollutants (such as NO),
216 which could consume O_3 (Han et al., 2011).

217 The whole campaign was divided into three periods: before the SF (from February 8 to 17),
218 during New Year's Eve and the SF (from February 18 to 25) and after the SF (from February 26 to
219 March 2). The average $\text{PM}_{2.5}$ mass concentrations and associated standard deviations before,
220 during and after the SF were 138±123, 121±94 and 61±12 $\mu\text{g}/\text{m}^3$, respectively. The highest $\text{PM}_{2.5}$
221 concentration was recorded before the SF, followed by during and after the SF, consistent with the

222 decreasing strengths of anthropogenic emissions throughout the sampling period (Huang et al.,
223 2012). That the lowest PM_{2.5} level occurred after the SF could be due to favorable meteorological
224 conditions and low emissions from anthropogenic sources since many migrant workers did not
225 return to work immediately after the SF and the construction and industrial activities were not yet
226 at their normal operational levels. A total of five pollution episodes was identified for the study
227 period. They are listed in Table S4 of the Supplementary material; three of them were selected for
228 detailed analysis in the following section.

229 **3.2. Chemical characteristics of the three selected episodes**

230 To better understand the evolution and sources of the air pollution throughout the festival
231 period, three episodes were selected for further analysis as shown in Fig. 1(a) (shaded and gridded
232 areas). The first episode (E1) was recorded from February 8 to 10 before the SF. The second
233 episode (E2) was observed from February 17 to 19 during the SF (February 18 was the Chinese
234 New Year's Eve and February 19 was the first day of the SF). The third episode (E3) was recorded
235 from February 27 to March 1 after the SF, when the holiday was ending and migrant workers and
236 students gradually returned, which reflects the transition period from slightly to fully operational
237 industrial activities. Note that the pollution episode occurring during February 13-15 was not
238 selected as the multi-metals monitor did not work properly then.

239 **3.2.1. E1 before the SF**

240 Fig. 2 shows the hourly concentrations of the PM_{2.5} constituents during E1. From 15:00 on
241 February 8, NO₃⁻, NH₄⁺, SO₄²⁻, Cl⁻, OC and EC started to rise and they peaked at approximately
242 1:00 on February 10. The highest concentrations of SO₄²⁻, NO₃⁻, NH₄⁺, Cl⁻, OC and EC reached 27,
243 39, 23, 11.9, 57 and 9.0 μg/m³, respectively. During the rapid increase stage, the increment rates
244 of NO₃⁻, NH₄⁺, SO₄²⁻, Cl⁻, OC and EC were 0.7, 0.5, 0.4, 0.3, 1.4 and 0.2 μg/m³/h, respectively.
245 OC had the highest rate and a significant correlation between OC and EC with a slope of 6.3 was
246 recorded, which reflects a combined contribution from vehicular exhaust, coal combustion, and
247 biomass burning (Cao et al., 2005). Usually the SF travel rush starts 1-2 weeks before the SF and
248 intensive traffic activities result in high OC and NO₃⁻ contributions to the PM_{2.5}, as observed in
249 previous research (Huang et al., 2012; Kong et al., 2015). The significant correlation between OC

250 and CO ($R^2=0.81$) further corroborates the impact of vehicle emissions.

251 The atmospheric transformation of SO_2 to SO_4^{2-} and of NO_2 to NO_3^- could be calculated by
252 the sulfur oxidation ratio (*SOR*) and nitrogen oxidation ratio (*NOR*) based on equations (1) - (3):

$$253 \quad \text{SOR} = n[\text{nss-SO}_4^{2-}]/(n[\text{SO}_2] + n[\text{nss-SO}_4^{2-}]) \quad (1)$$

$$254 \quad [\text{nss-SO}_4^{2-}] = [\text{SO}_4^{2-}] - 0.2517 \times [\text{Na}^+] \quad (2)$$

$$255 \quad \text{NOR} = n[\text{NO}_3^-]/(n[\text{NO}_2] + n[\text{NO}_3^-]) \quad (3)$$

256 where nss-SO_4^{2-} denotes non-sea-salt sulfate and the unit for n is mole/m^3 ; 0.2517 is the ratio of
257 SO_4^{2-} to Na^+ in sea water (Tao et al., 2009). During the rapid increase stage, *SOR* and *NOR* were
258 0.13 and 0.17, suggesting rapid gas-particle transformation (Zhang et al., 2016). The $\text{NO}_3^-/\text{SO}_4^{2-}$
259 mass ratio can be used to discuss the contributions of stationary and mobile sources (Feng et al.,
260 2016). The average $\text{NO}_3^-/\text{SO}_4^{2-}$ ratio of 1.6 ± 0.7 suggests that the vehicle contribution was
261 significantly larger than that from coal combustion during E1; this is consistent with the result
262 obtained by PMF shown in Fig. 5.

263 K, Ca, Fe and Zn were the major elemental constituents with average concentrations of
264 $1,340 \pm 360$, 158 ± 58 , 360 ± 110 and $240 \pm 100 \text{ ng/m}^3$, respectively (Table 1). Before 15:00 on
265 February 8, all elements showed opposite variation patterns compared with the pattern for
266 secondary inorganic aerosol (see Fig. 2). This could mainly be due to the significant contribution
267 of elements from windblown dust (Shen et al., 2016), as is supported by high wind speeds shown
268 in Fig. 1(b). Whereas most crustal matter and urban road dust contains a K/Fe ratio of less than 1.0,
269 much higher ratios were noted during the evening and early morning hours (approximately 5:1)
270 and they were still elevated during the day (approximately 2:1) (Chan et al., 1997). This might
271 suggest a contribution from biomass burning at that time. During the accumulation process, Pb, Zn,
272 As and Se showed an increased contribution with average concentrations of 98 ± 6 , 240 ± 100 ,
273 29 ± 10 and $5.3 \pm 1.4 \text{ ng/m}^3$, respectively; these elements are closely related with combustion
274 (Pokorná et al., 2016). It suggests that coal combustion used for heating was still important during
275 this episode.

276 The degree of enrichment relative to soil crustal matter for trace elements can be
277 quantitatively assessed by the crustal Enrichment Factor (*EF*) based on equation (4):

$$278 \quad \text{EF} = (X/X_{\text{Ref}})_{\text{aerosol}} / (X/X_{\text{Ref}})_{\text{soil}} \quad (4)$$

279 where X is element of interest and X_{Ref} is the reference element in soil (Huang et al., 2012; Liu et

280 al., 2017a). In this study, Fe in background soil in China was chosen as the reference element
281 (Wei et al., 1991). The average *EF* values for elements in the three episodes are given in Table S5.
282 Elements with *EF* values smaller than 10, which are usually considered to originate primarily
283 from natural sources (Xu et al., 2013) included K, Fe, Ca, Cr, Mn and Ba during E1.
284 Anthropogenic elements including Cu, As, Zn, Pb, Se, Ag, Hg and Cd had *EF* values higher than
285 100, ranging from 168 (Cu) to 10100 (Cd), suggesting the importance of anthropogenic sources.
286 Note that the *EF* values of the elements declined during the rapid increase stage except for Ag and
287 Cd, suggesting that these elements were not associated with the main cause of the PM_{2.5} increase.
288 Additionally, it was found that the *EF* values of Ni and V were higher than 10 during 4:00-7:00 on
289 February 8 and 8:00-17:00 on February 10; this could be due to a substantial contribution from
290 heavy oil combustion for these two elements (Dall'Osto et al., 2013).

291 3.2.2. E2 during the SF

292 Fig. 3 shows the temporal variation of the PM_{2.5} constituents during E2 and Table 1 presents
293 their average concentrations and associated standard deviations. Due to increased wind speed from
294 the northwest or northeast varying from 2.2 to 5.2 m/s and because of a decline in anthropogenic
295 emissions (i.e., from industries and vehicles), the concentrations PM_{2.5} and its chemical species
296 declined before 18:00 on February 18. The average visibility was 46±6 km. With the shift of WD
297 from north to south and the decrease of WS, a PM_{2.5} peak was recorded from 22:00 on February
298 17 to 2:00 on February 18 and as a result SO₄²⁻, NO₃⁻, NH₄⁺, Cl⁻, OC and EC peaked. Afterwards,
299 the hourly PM_{2.5} concentrations began to decrease until 18:00 on February 18 (New Year's Eve).
300 Then the PM_{2.5} concentrations increased quickly from 33 to 400 µg/m³ and peaked at 1:00 on
301 February 19 when huge amounts of firework emissions occurred. The time period with PM_{2.5}
302 concentrations of more than 75 µg/m³ lasted for 70 h after the fireworks.

303 The highest concentrations of OC, EC, Cl⁻, NO₃⁻, SO₄²⁻, K⁺, NH₄⁺, Na⁺ and Mg²⁺ were 20,
304 2.1, 57, 12.6, 92, 96, 9.1, 2.2 and 5.9 µg/m³ at 1:00 on February 19. K⁺, SO₄²⁻ and Cl⁻ increased at
305 fast rates of 13.3, 11.5 and 7.7 µg/m³/h, respectively. These increases result from the fact that
306 firework materials are made up of sulfur powder, carbon powder and KClO₄ (Ji et al., 2017).
307 KClO₄ acts as the major oxidizer and the corresponding chemical reaction (R1) results in high Cl⁻
308 and SO₄²⁻ concentrations during the firework. In addition, potassium sulfate is also used as an

309 additive in the firework (Perry, 1999).



311
$$\sum \text{Anions} = [\text{SO}_4^{2-}]/48 + [\text{NO}_3^-]/62 + [\text{Cl}^-]/35.5 + [\text{F}^-]/19 \quad (5)$$

312
$$\sum \text{Cations} = [\text{NH}_4^+]/18 + [\text{Mg}^{2+}]/12 + [\text{Ca}^{2+}]/20 + [\text{Na}^+]/23 + [\text{K}^+]/39 \quad (6)$$

313 The $\sum \text{Cations}/\sum \text{Anions}$ molar ratio was 0.97 for the period from 19:00 on February 18 to
314 1:00 on February 19, which indicated that there was a slight deficiency in cations to neutralize the
315 anions. A NO_3^- peak did not occur, in contrast to what was the case for K^+ , SO_4^{2-} and Cl^- . This is
316 because NO_3^- is not a major constituent of firework materials and the meteorological conditions
317 were not favorable for secondary formation of NO_3^- . Note that the PM, which originates from
318 fireworks can remain in the atmosphere for a long time and may be a substrate for heterogeneous
319 reactions of SO_2 and NO_2 , thus degrading air quality when favorable meteorological conditions
320 prevail (Kong et al., 2015). As reported by Pathak et al. (2009), NO_3^- is preferably formed in an
321 ammonia-deficient atmosphere via (R S4) in the Supplementary material, for which basically
322 heterogeneous hydrolysis of N_2O_5 occurs on the moist surface of aerosols. The PM emitted by the
323 fireworks during the SF provides an appropriate interface to form NO_3^- via heterogeneous
324 reactions when the RH increases.

325 The correlations between OC and CO and between OC and EC were significant during E2.
326 Furthermore, high OC/EC ratios (on average 9.2 ± 5.3) were observed, which possibly result from
327 the adhesive organic matter in the firework materials and in addition from vehicular exhaust and
328 coal combustion. As shown in Fig. 3, K, Ba, Ca, Fe, Pb and Cu increased at rates of 870, 14.2,
329 10.1, 9.4, 4.7 and 4.7 $\text{ng}/\text{m}^3/\text{h}$, respectively, during the intensive firework emission period (from
330 19:00 on February 18 to 1:00 on February 19). The *EF* values of K, Ba, Cu and Pb reached 29, 22,
331 129 and 210, respectively, at 1:00 on February 19. The Ba, Cu and Pb concentrations increased by
332 factors of 3.4, 5.6 and 1.8, respectively, in a short time, which could pose health risks to the local
333 residents, in particular to children (Hamad et al., 2016). The average Ba concentration ($99 \text{ ng}/\text{m}^3$)
334 during the intensive emission period was higher than that observed in Nanjing during the Spring
335 Festival ($70 \text{ ng}/\text{m}^3$) (Kong et al., 2015). The results indicate that fireworks lead to substantial
336 emissions of its related elements.

337 3.2.3. E3 after the SF

338 As shown in Fig. 4, SO_4^{2-} , Cl^- , NO_3^- , NH_4^+ , OC and EC varied similarly to $\text{PM}_{2.5}$ with
339 average concentrations of 15.4 ± 15.8 , 2.6 ± 2.2 , 12.0 ± 12.8 , 12.2 ± 13.9 , 12.6 ± 9.7 and 1.6 ± 1.4 $\mu\text{g}/\text{m}^3$,
340 respectively. Compared to E2, the NO_3^- and NH_4^+ concentrations were much higher during E3.
341 After the SF, migrant workers gradually returned to Beijing for work and traffic flows and
342 industrial activities increased progressively. The emission intensities of various sources changed
343 and the ratios of NO_3^- , SO_4^{2-} and NH_4^+ to total $\text{PM}_{2.5}$ varied correspondingly. The ratios of
344 $\text{NO}_3^-/\text{PM}_{2.5}$, $\text{SO}_4^{2-}/\text{PM}_{2.5}$ and $\text{NH}_4^+/\text{PM}_{2.5}$ were 0.18 ± 0.08 , 0.29 ± 0.21 and 0.17 ± 0.08 , respectively.
345 During E3, the ratio of $\sum\text{Cations}/\sum\text{Anions}$ was 1.4 (with $R^2=0.98$ between both sums), indicating
346 that the $\text{PM}_{2.5}$ was alkaline, which was different from that during the intensive firework emission
347 period. A significant correlation was found between OC and EC was with a slope of 6.4 and a R^2
348 of 0.87. The high OC/EC ratios were related to the combined contributions from vehicular exhaust
349 and coal combustion (Cao et al., 2005).

350 The average concentrations of K, Fe, Zn, and Ca were 410 ± 170 , 87 ± 40 , 36 ± 19 and 34 ± 18
351 ng/m^3 , respectively, and aggregately these four elements accounted for 88.8% of the mass of all
352 elements in this episode. As shown in Table S5, the *EF* values of Cd, Ag, Hg, Se, Pb, Zn, Cu, Ni,
353 As and V were all higher than 10, indicating a quite important contribution for these elements
354 from anthropogenic emissions. In contrast to what was the case in E2, the average *EF* values of
355 elements related to industries like Cr, Cu, Zn, Hg and Pb increased during E3, which seems to
356 reflect the progressive re-opening of the industrial activities.

357 The diurnal variation in $\text{PM}_{2.5}$ and its chemical constituents during E1, E2 and E3 was also
358 examined; it is shown in the Supplementary material (Figs. S3, S4 and S5). Due to the influence of
359 different source strengths, the diurnal variation was in accordance with the morning and evening
360 rush hours and nighttime emissions as well as the additional emission from the fireworks during
361 the SF.

362 3.3. Source apportionment of $\text{PM}_{2.5}$ for the three selected episodes

363 The PMF model was run 100 times with a random seed and the lowest Q was considered as
364 base run solution. After examination of the F_{peak} values, the base run results ($F_{peak} = 0$) were
365 retained for the three selected episodes. Mg^{2+} was excluded from the input of the model for E1 and

366 E3 because of lower signal-to-noise ratios and Ag and Cd were excluded for all three selected
367 episodes due to the lower coefficients between measured and predicted values ($R^2 < 0.01$). Cr and
368 Na^+ were also excluded for E2 and E3 because of poor match between the modeled and measured
369 values, respectively. The PMF solutions with from four to eight factors were examined. The
370 detailed solution analysis is presented in the Supplementary material. Seven, six and six factors
371 were found to be reasonable for E1, E2 and E3, respectively.

372 Fig. 5 presents the seven identified source profiles and their average contributions to the
373 $\text{PM}_{2.5}$ for E1. Factor 1 with high loadings of Ca, Cr, Mn, Fe and Zn was identified as dust because
374 of the presence of the crustal elements Ca, Mn, and Fe. Ba, Cu, As, Se, Hg and Pb were also found
375 in this factor. Road dust, windblown dust and construction dust could not be distinguished from
376 each other because of the insufficient tracers for dust. Dust was an important source of $\text{PM}_{2.5}$ with
377 an average contribution of 5.8%. Factor 2 was termed a source associated with vehicle exhaust
378 because it is characterized by high loadings of NO_3^- , NH_4^+ , OC and EC (Liu et al., 2017b; Singh et
379 al., 2016; Zong et al., 2016). It is well known that vehicular emissions provide a substantial
380 contribution to the OC and EC levels and that NH_4^+ is emitted by vehicles that are equipped with
381 three-way catalytic converters (Chang et al., 2015). This source contributed with 35% to the $\text{PM}_{2.5}$
382 during E1, which is in accordance with the SF travel rush. Factor 3 was identified as coal
383 combustion, as it is characterized by high Cl^- , OC and EC loadings. The high Cl^- loading
384 associated with coal combustion in winter is a distinctive feature in Beijing and inland China (Liu
385 et al., 2017b; Zhang et al., 2013). The contribution of coal combustion to the $\text{PM}_{2.5}$ was 21%.
386 Factor 4 can be determined as secondary sources because of the high loadings of NO_3^- , NH_4^+ , and
387 SO_4^{2-} . This factor provided a contribution of 29% to the $\text{PM}_{2.5}$. Factor 5 has high loadings for V
388 and Ni, which are related with oil combustion (Wang et al., 2016); it contributed with 2.1% to the
389 $\text{PM}_{2.5}$. The sixth factor stood for industrial sources; it is characterized by a higher loading of Cu,
390 Zn and As as well as a moderate loading of Hg, Pb and Cr (Wang et al., 2016; Yao et al., 2016).
391 Since there are no industries in Beijing and because of the temporary shutdown of manufacturing
392 in the surrounding areas of Beijing around the time of the SF, the industrial source only
393 contributed with 3.9% during E1. Factor 7, characterized by a high loading of K and accounting
394 for 4.2% of the $\text{PM}_{2.5}$, was biomass burning and waste incineration (Betha et al., 2014). As, Se, Hg
395 and Pb also had a moderate loading in factor 7; the latter elements are also related with biomass

396 burning and waste incineration (Dall'Osto et al., 2013).

397 For E2, the six-factor solution provided the most physically reasonable source profiles.
398 Factor 1 was considered as vehicular exhaust, as it is characterized by high loadings of NO_3^- ,
399 NH_4^+ , OC and EC (see Fig. 6); it contributed with 26% to the $\text{PM}_{2.5}$. Factor 2 had high loadings of
400 NO_3^- , NH_4^+ and SO_4^{2-} and was therefore identified as secondary sources but it is also partly related
401 to aging processes of firework emissions because it shows some loading of Ba, Cu and K (Kong et
402 al., 2015). This source contributed with 17.8% to the $\text{PM}_{2.5}$. Factor 3 was dust, characterized by
403 high Ca, Mn, Fe, Se, Ba and Pb, and it provided a contribution of 5%. Note that dust is partly
404 associated with the firework emissions because they cause soil dust resuspension. Factor 4 was
405 coal combustion, characterized by high Zn, As, Se, Hg and Cl⁻; it has also a moderate loading of
406 OC and EC. As well known, Hg, As, Se and Zn originate from coal combustion (Feng et al., 2016;
407 Huang et al., 2012; Wang et al., 2010). This factor contributed with 11.5% to the $\text{PM}_{2.5}$. Compared
408 to E1, a reduction of approximately 10% was found for coal combustion during E2; this is
409 attributed to the decline in industrial activities during the SF. Factor 5 is termed firework
410 emissions, as it is characterized by high Cl⁻, SO_4^{2-} , Mg^{2+} , OC, EC, K and Ba (Huang et al., 2012;
411 Kong et al., 2015; Yang et al., 2014); it contributed with 30% to the $\text{PM}_{2.5}$. This factor stood out
412 as the most significant contributor. Factor 6 might be associated with oil combustion for
413 residential heating, as it is characterized by high V and Ni. This factor accounted with 9.3% to the
414 $\text{PM}_{2.5}$.

415 The source profiles of the six factors and their contributions for E3 are presented in Fig. 7.
416 Factor 1 could be categorized as vehicle exhaust since it has elevated levels of OC and EC. NO_3^-
417 and NH_4^+ also have some moderate contribution to factor 1. Vehicle exhaust contributed with 32%
418 to the $\text{PM}_{2.5}$ during E3. Factor 2 was coal combustion as it is characterized by high loadings of OC,
419 Cl⁻ and EC (Zhang et al., 2013; Liu et al., 2017b); it accounted for 13.4% of the $\text{PM}_{2.5}$. Factor 3
420 was oil combustion characterized by high Ni and V loadings and it accounted for 4.3% of the
421 $\text{PM}_{2.5}$. Factor 4 is considered as an industrial source, since it is characterized by high Cr, Mn, Fe,
422 Cu, and Zn. It contributed with 5.2% to the $\text{PM}_{2.5}$ whereas no industrial source was present for E2;
423 its presence in E3 is attributed to the re-opening of most industrial factories. Factor 5 was
424 secondary sources, characterized by NO_3^- , SO_4^{2-} and NH_4^+ ; it accounted for 44% of the $\text{PM}_{2.5}$.
425 Factor 6 could be considered as biomass burning and waste incineration, because of the high K

426 loading. Moderate loadings of As, Se, Hg and Pb are also seen in this factor. This factor
427 contributed with 1.6% to the PM_{2.5}.

428 As shown in Fig. 8, substantial differences in source contributions between the three episodes
429 were found. The vehicle contribution was highest (35%) during E1; firework emissions were most
430 important during E2, when they provided a contribution of 30%, while the secondary source was
431 the largest contributor (44%) during E3. The hourly contribution of the different sources during
432 the episodes is shown in Figs. S6, S7 and S8; the patterns are consistent with the emission sources
433 that were identified above. Finally, an analysis based on chemical mass closure was also used for
434 apportioning the particulate matter to its components; this work is given in the Supplementary
435 material (Figs. S9 and S10).

436 **Conclusions**

437 An intensive observation campaign was organized to study the air quality in urban Beijing
438 during the 2015 SF. PM_{2.5} and its chemical species, including water-soluble inorganic ions,
439 carbonaceous species and trace elements were measured with 1-h time resolution. Based on the
440 chemical characterization and source apportionment analysis, the following conclusions can be
441 drawn:

442 (1) During the Spring Festival period the changes in anthropogenic activities played an important
443 role in the variation in air pollutants and severe pollution episodes occurred frequently. Before the
444 SF, the higher concentrations of CO, NO₂ and PM_{2.5} were related to a combined effect of
445 unfavorable meteorological conditions, heavy traffic, and residential heating. During the SF the
446 firework emissions gave rise to a steep increase in PM_{2.5} and SO₂ for a short time. After the SF,
447 increased human activities and regional transport contributed a lot to the PM_{2.5} loading.

448 (2) During the three selected episodes secondary inorganic ions and carbonaceous species
449 accounted for most of the PM_{2.5}. Secondary conversion acts a pivotal process in the formation of
450 air pollution. The firework emissions released lots of gaseous pollutants and particles containing
451 harmful chemicals like heavy metals in a short time, posing health risks to tourists and local
452 residents. Thus, more attention should be paid to limit fireworks during the SF.

453 (3) The results of the source apportionment indicated that vehicle exhaust, fireworks and
454 secondary sources, respectively, were important contributors during the three selected episodes,

455 which is consistent with the change in emission sources.

456 This study enhances our understanding of the impact of the change in anthropogenic activities
457 on the characteristics, formation mechanisms, and sources of the air pollution. Based on near
458 real-time data of the chemical composition of the PM_{2.5}, the evolution of the air pollution episodes,
459 their dynamical characteristics and the sources could be precisely identified. Future work will
460 focus on developing methods for monitoring more tracers of the different emission sources with
461 high time resolution to improve the accuracy of the source apportionment.

462 **Acknowledgements**

463 This study was supported by the Ministry of Science and Technology of China
464 (2016YFC0202701), the Beijing Municipal Science and Technology Project (D17110900150000)
465 and the Strategic Priority Research Program (B) of the Chinese Academy of Sciences (Grant
466 XDB05020500). The authors thank all staff members of the IAP, CAS for operating and
467 maintaining the analyzers used. In addition, the authors acknowledge helpful discussions with Dr.
468 John Cooper (Cooper Environmental Services, USA).

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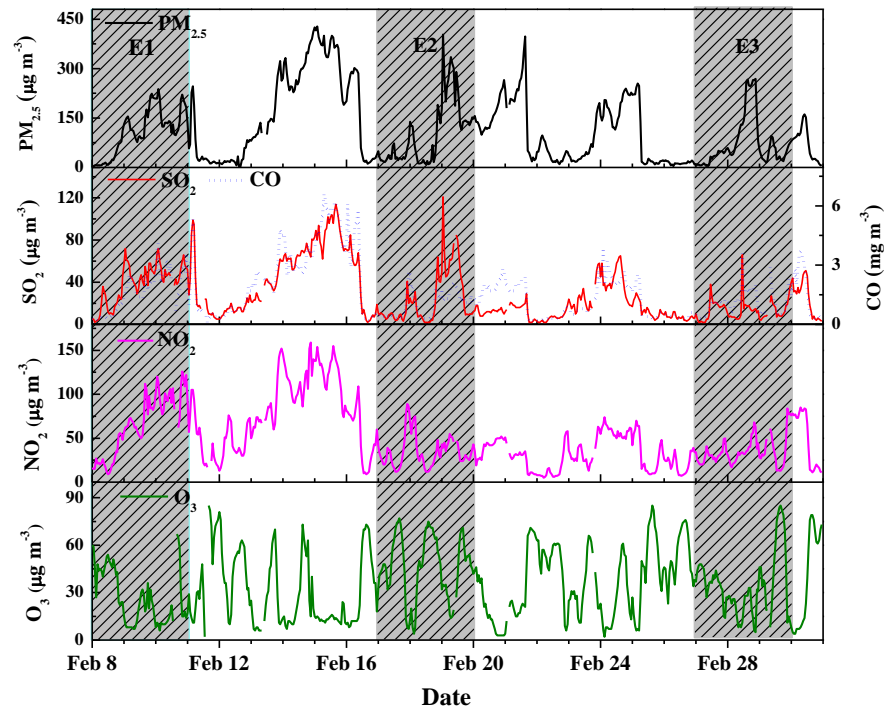
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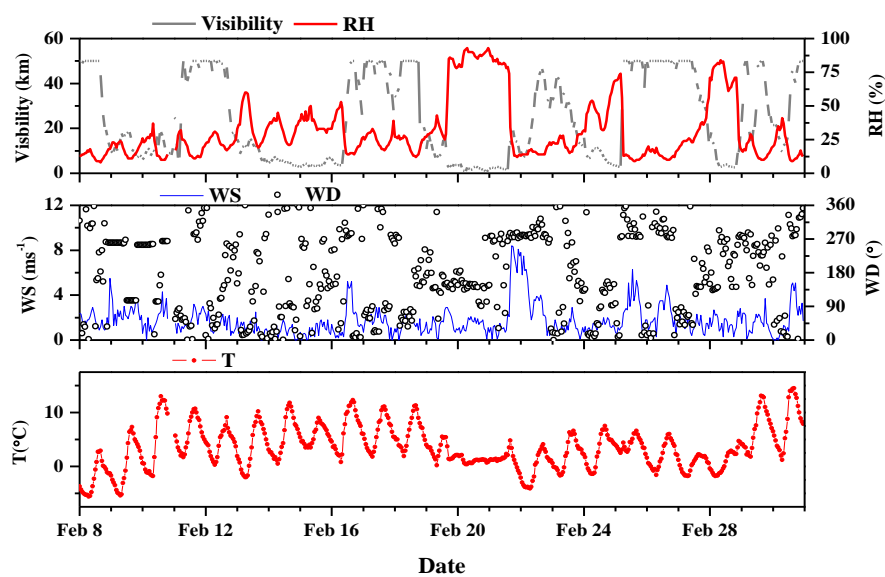
610 Zou, Q., Yao, Y. G., 2014. The analysis of characteristics of PM_{2.5} components during set-off
611 fireworks period of spring festival in Suzhou city. *Environ. Monit. China* 30, 100-106.

Table 1 Average concentrations and associated standard deviations of PM_{2.5} (µg/m³) as well as of water-soluble inorganic ions, carbonaceous species (µg/m³) and trace elements (ng/m³) in PM_{2.5} during the three selected episodes of the study period.

Species	E1	E2	E3
PM _{2.5}	107±70	102±99	72±76
Water-soluble inorganic ions			
Cl ⁻	5.2±3.2	11.5±15.2	2.6±2.2
NO ₃ ⁻	18±12	9.9±9.0	12±13
SO ₄ ²⁻	11±6.1	23±25	15±16
Na ⁺	1.8±0.3	1.4±0.4	1.6±0.3
K ⁺	1.0±0.6	18±25	1.2±1.2
NH ₄ ⁺	11±6.7	7.3±8.1	12±14
Mg ²⁺	0.1±0.0	1.1±1.7	0.1±0.0
Carbonaceous species			
OC	26±16	14±12	13±9.7
EC	3.8±2.4	1.9±1.7	1.6±1.4
Trace elements			
K	1350±370	1350±1100	410±172
Ca	158±49	156±119	34±18
V	5.7±10	16±7.7	7.1±7.0
Cr	3.0±2.1	0.5±0.8	0.4±0.6
Mn	29±12	10±4.7	4.1±2.6
Fe	360±110	250±130	87±40
Ni	3.0±2.6	5.8±2.1	3.2±2.7
Cu	44±23	11±6.2	5.1±2.0
Zn	240±100	45±18	36±19
As	29±10	8.1±2.6	2.3±3.3
Se	5.3±1.4	2.0±0.8	0.6±0.4
Ag	6.8±3.7	7.8±3.0	6.7±2.5
Cd	11±3.1	11±3.2	9.9±2.5
Ba	32±11	59±65	9.6±5.6
Hg	3.6±0.7	2.7±1.0	1.5±0.3
Pb	98±26	39±15	18±14



(a)



(b)

Fig. 1 Hourly PM_{2.5} and SO₂, CO, NO₂ and O₃ (a) and time series of visibility, relative humidity, wind speed, wind direction and temperature (b) from February 8 to March 2, 2015 at the observation site.

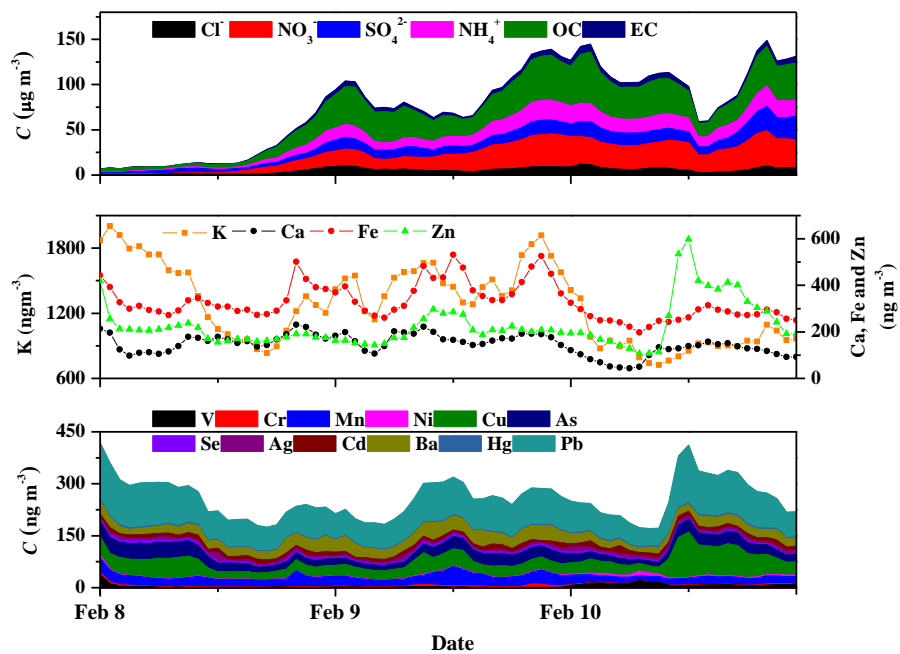


Fig. 2 Hourly concentrations of chemical species in $\text{PM}_{2.5}$ during E1.

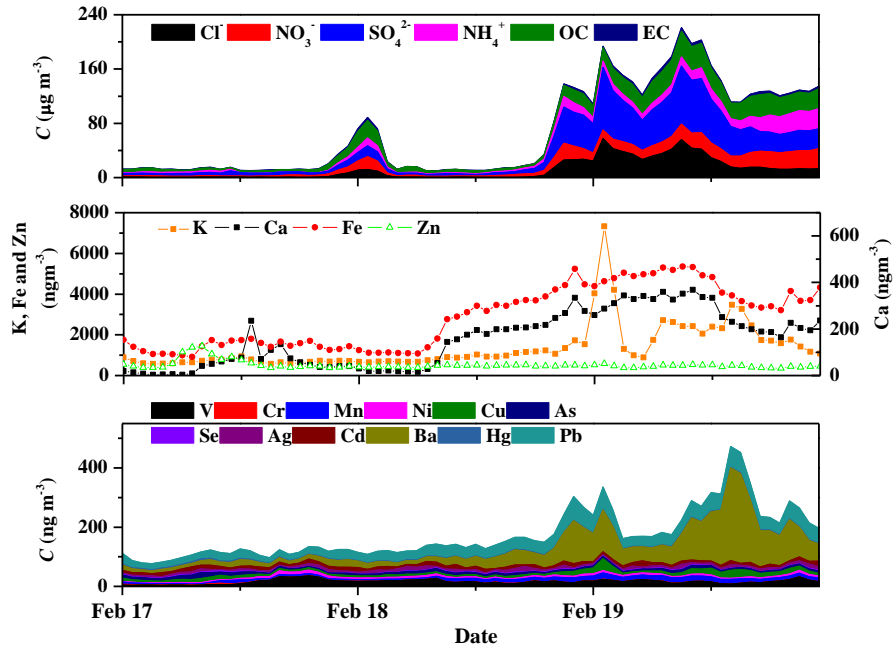


Fig. 3 Hourly concentrations of chemical species in $\text{PM}_{2.5}$ during E2.

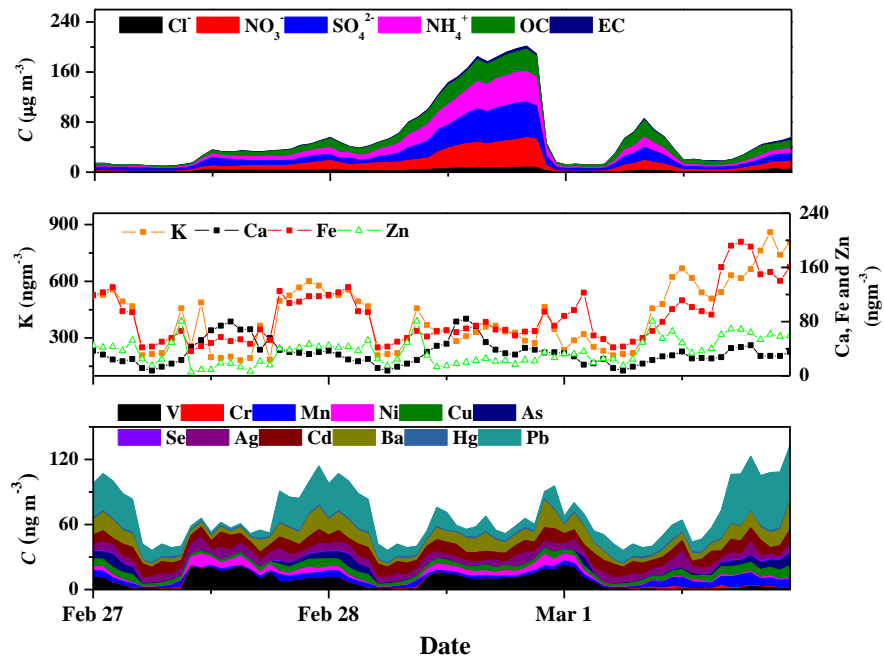


Fig. 4 Hourly concentrations of chemical species in $\text{PM}_{2.5}$ during E3.

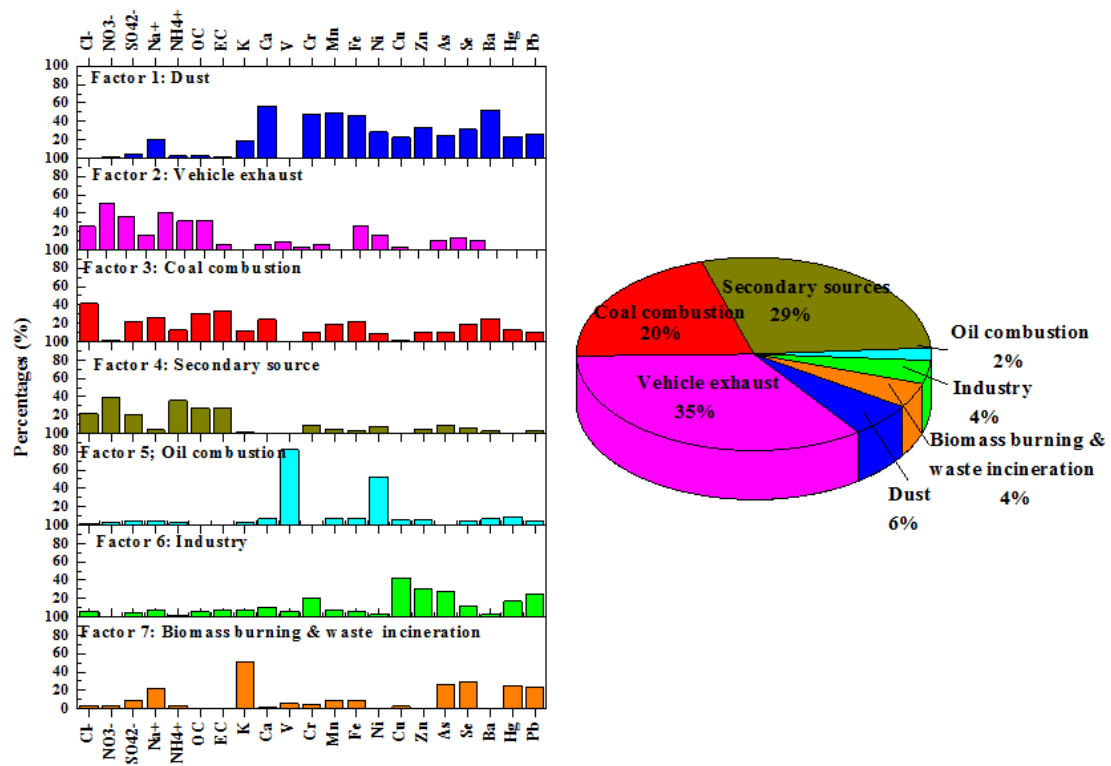


Fig. 5 Profile and contribution of each source during E1.

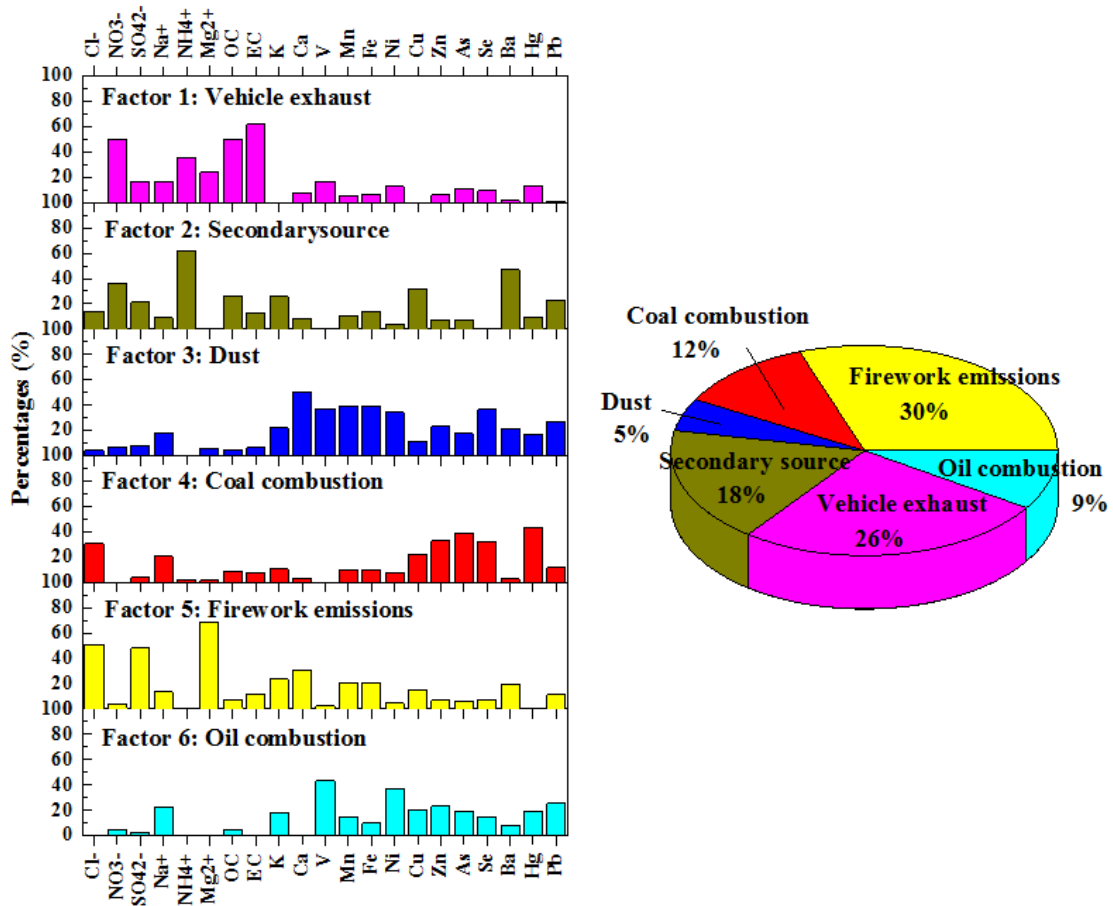


Fig. 6 Profiles and contribution of each source during E2.

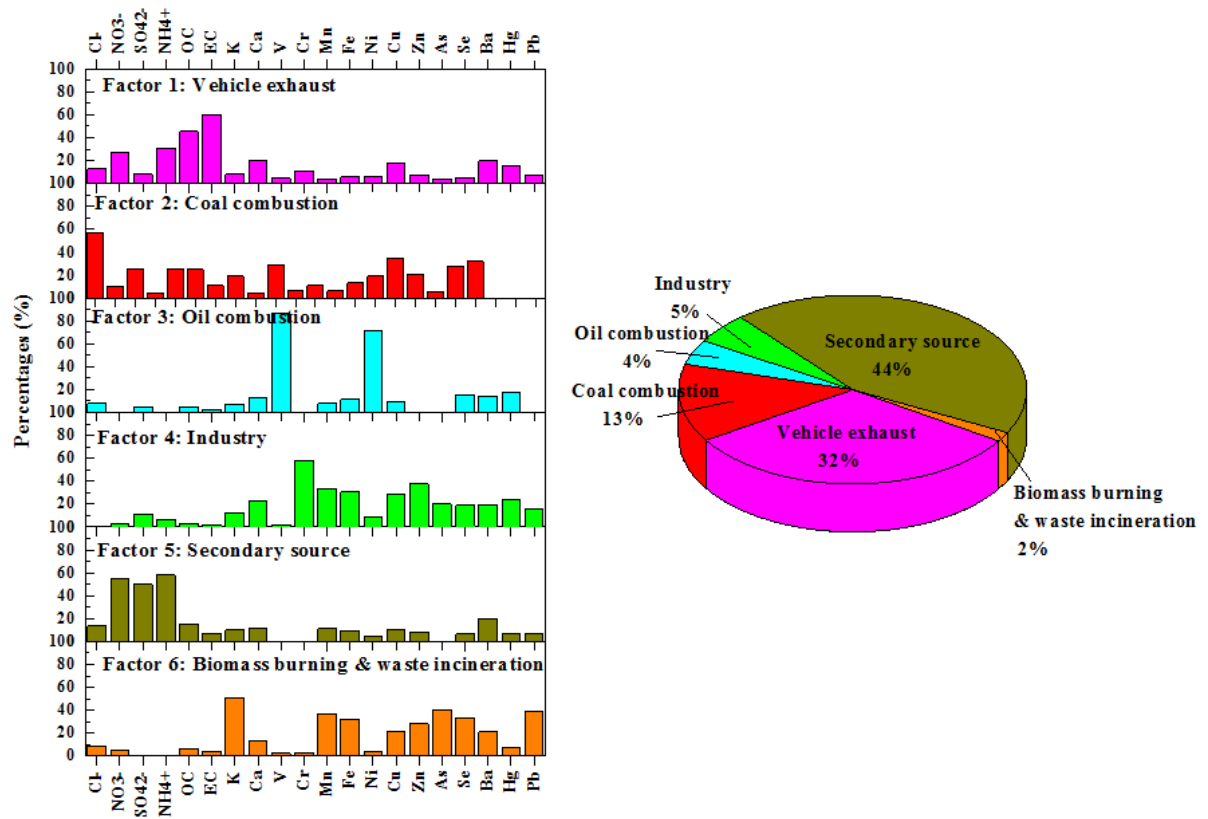


Fig. 7 Profile and contribution of each source during E3.

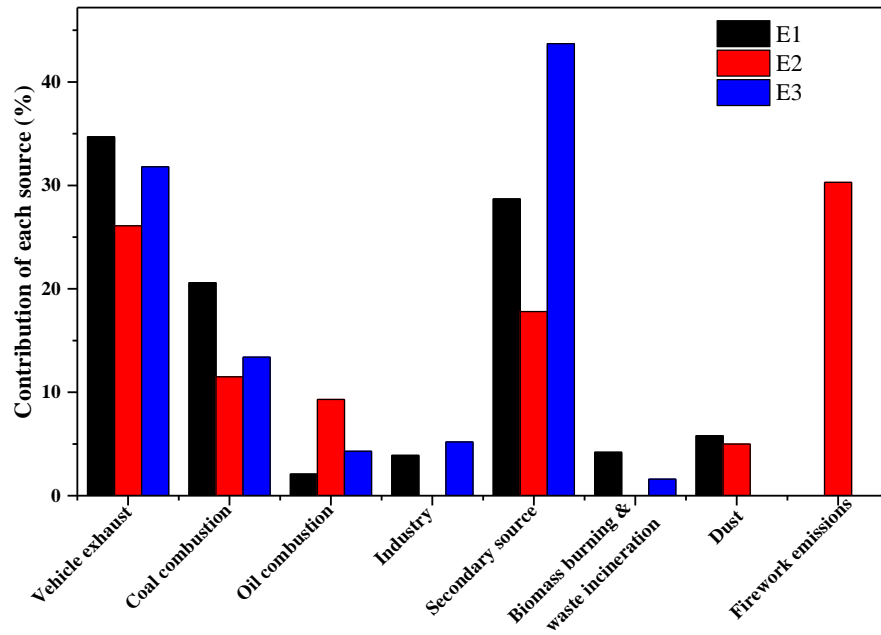


Fig. 8 Comparison of source contributions during the three selected pollution episodes.

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