

Fault Localisation for WS-BPEL Programs based on Predicate Switching and Program Slicing

Chang-ai Sun^{a,*}, Yufeng Ran^a, Caiyun Zheng^a, Huai Liu^b, Dave Towey^c, Xiangyu Zhang^d

^a*School of Computer and Communication Engineering, University of Science and Technology Beijing, Beijing 100083, China*

^b*College of Engineering and Science, Victoria University, Melbourne 8001 VIC, Australia*

^c*School of Computer Science, University of Nottingham Ningbo China, Zhejiang, 315100, China*

^d*Department of Computer Science, Purdue University, West Lafayette, Indiana, USA*

Abstract

Service-Oriented Architecture (SOA) enables the coordination of multiple loosely coupled services. This allows users to choose any service provided by the SOA without knowing implementation details, thus making coding easier and more flexible. Web services are basic units of SOA. However, the functionality of a single Web service is limited, and usually cannot completely satisfy the actual demand. Hence, it is necessary to coordinate multiple independent Web services to achieve complex business processes. Business Process Execution Language for Web Services (WS-BPEL) makes the coordination possible, by helping the integration of multiple Web services and providing an interface for users to invoke. When coordinating these services, however, illegal or faulty operations may be encountered, but current tools are not yet powerful enough to support the localisation and removal of these problems. In this paper, we propose a fault localisation technique for WS-BPEL programs based on predicate switching and program slicing, allowing developers to more precisely locate the suspicious faulty code. Case studies were conducted to investigate the effectiveness of the proposed technique, which was compared with predicate switching only, slicing only, and one existing fault localisation technique, namely Tarantula. The experimental results show that the proposed technique has a higher fault localisation effectiveness and precision than the baseline techniques.

Keywords: Fault localisation, Debugging, Business Process Execution Language for Web Services, Web Services

1. Introduction

In recent years, Service-Oriented Architecture (SOA) [1] has been widely adopted to develop distributed applications in various domains. Web services, as basic units in SOA, are often developed and owned by a third party, and are published and deployed in an open and dynamic environment. Since a single Web service normally provides limited functionalities, multiple Web services are coordinated to implement complex and flexible business processes. Business Process Execution Language for Web Services (WS-BPEL) [2] is a widely recognised language for service compositions. In the context of WS-BPEL, all communications among Web services are via standard eXtensible Markup Language (XML) messages [3]. Compared with traditional programs written in C or Java, WS-BPEL programs

have many new features. For instance, a WS-BPEL program is represented as an XML file, and dynamic behaviours of the program are embedded into structural XML elements; Web services composed by WS-BPEL programs may be implemented in hybrid programming languages; and WS-BPEL supports concurrency and synchronisation that is not common in the traditional programs.

The above unique features make the debugging of WS-BPEL programs significantly different from that of traditional programs. Unfortunately, very little research in this direction has been reported. In our previous work [4], we presented a block-based fault localisation framework for WS-BPEL programs, and synthesised the three well-known spectrum-based fault localisation techniques: Tarantula [5], Set-Union [6], and Code Coverage [7, 8]. We also conducted an empirical study to evaluate the effectiveness of the synthesized WS-BPEL-specific fault localisation techniques. The result showed that the Tarantula technique was the

*Corresponding author

Email address: casun@ustb.edu.cn (Chang-ai Sun)

37 most effective technique, demonstrated by the highest 86
38 accuracy in localising the blocks that contain the faulty 87
39 statement. However, these techniques could only report 88
40 those suspicious faulty blocks without a deeper analysis 89
41 inside the suspicious block. 90

42 There also exist some development platforms for 91
43 WS-BPEL, such as *ActiveBPEL Designer* [9] and 92
44 *Eclipse BPEL Designer* [10]. Unfortunately, these plat- 93
45 forms usually only provide support for WS-BPEL syn- 94
46 tax checking, while the assistance with logical errors 95
47 that most developers expect is missing. If such assis- 96
48 tance were available, perhaps as a plug-in to the plat- 97
49 form, the debugging efficiency might be substantially 98
50 improved. 99

51 In this work, we attempt to develop a technique 100
52 to further improve the effectiveness and efficiency of 101
53 fault localisation for WS-BPEL programs. In particu- 102
54 lar, we propose a new fault localisation technique for 103
55 WS-BPEL programs, based on two popularly used tech- 104
56 niques, namely predicate switching [11] and program 105
57 slicing [12]. The proposed technique first employs pre- 106
58 dicate switching to narrow the range of blocks to be 107
59 checked for the fault localisation, and then makes use 108
60 of slicing to go more deeply into the block for a more 109
61 precise analysis of the fault. In particular, we focus 110
62 on the following unique challenges in applying predi- 111
63 cate switching and program slicing into WS-BPEL pro- 112
64 grams. 113

- 65 • Predicate switching for WS-BPEL programs: For 114
66 C, C++, or Java programs, predicate switching 115
67 is normally implemented through instrumentation. 116
68 However, WS-BPEL programs are basically the 117
69 workflow specifications based on XML, and their 118
70 executions normally rely on a specific interpreter. 119
71 For instance, Apache ODE [13] is a popular WS- 120
72 BPEL engine that compiles all standard BPEL el- 121
73 ements: the compiled VxBPEL is represented as 122
74 an object model containing all necessary resources 123
75 for execution. A runtime component is responsi- 124
76 ble for the execution of compiled processes. Such 125
77 an execution mode means that dynamic changes to 126
78 WS-BPEL programs are not allowed. In contrast, 127
79 the original implementation of predicate switch- 128
80 ing for C programs is based on Valgrind¹. Val- 129
81 grind supports dynamic instrumentation by calling 130
82 the instrumentation functions. These functions, in 131
83 turn, instrument the provided basic block and re- 132
84 turn the new basic block to the Valgrind kernel (re- 133
85 fer to [11] for more details). Clearly, because no

¹Valgrind is a well-known memory debugger and profiler for x86-
kubyx binaries. For more details, please refer to: <http://valgrind.org/>

interfaces are reserved for calling instrumentation
functions, it is not possible to implement dynamic
instrumentation in Apache ODE. Furthermore, in
this context, instrumentation is not a suitable so-
lution for WS-BPEL programs. In order to im-
plement an instrumentation function in WS-BPEL
programs, it would be necessary to make signifi-
cant modifications to the original WS-BPEL pro-
grams. Such modifications would definitely affect
the whole program, including the partner link, vari-
able, and interaction sections. Furthermore, these
modifications would change the semantics of the
original program, which violates the fundamen-
tal principle of instrumentation technique. On the
contrary, the original instrumentation for predicate
switching in C programs (again refer to [11]) does
not face this challenge, because it implements the
instrumentation functions in binaries, and the mod-
ifications to the original program include only in-
troducing a new basic block. Finally, collecting
the execution traces of instrumentation for WS-
BPEL programs is also challenging. It is easy to
collect execution traces in the context of C pro-
grams, which can be done by writing data into a
file or memory. In contrast, WS-BPEL programs
normally return a response message through a spe-
cific activity (i.e. reply), and there is no channel
for throwing trace data.

- Dynamic program slicing for WS-BPEL programs:
Variables in WS-BPEL programs can be either
an atomic data type or a complex composite type
whose definitions are normally distributed in var-
ious namespaces represented in XML files. Thus,
dynamic slicing of WS-BPEL programs must be
able to deal with recursive parsing and querying
of composite variables. Furthermore, it is neces-
sary to analyse the interpreter's logs in order to
obtain the execution traces of the WS-BPEL pro-
gram. These issues all pose challenges for the dy-
namic slicing of WS-BPEL programs.

Based on some new concepts, the above challenges are
effectively addressed in our proposed technique.

In order to evaluate the performance of the proposed
technique, we conducted a comprehensive empirical
study where three WS-BPEL programs were used as
object programs, and a total of 166 mutated versions
were used to simulate various faults. The effectiveness
and precision of the new technique were compared with
the Tarantula technique, which had shown the best per-
formance in fault localisation for WS-BPEL programs
in our previous work [4]. Experimental results showed

137 that the proposed technique demonstrates better effec-
 138 tiveness and higher precision than the previous tech-
 139 nique.

140 The rest of this paper is organised as follows. Section
 141 2 introduces underlying concepts of WS-BPEL, and
 142 some related fault localisation techniques. Section 3
 143 describes the main idea of our new fault localisation
 144 technique. Details on how to apply the technique to
 145 WS-BPEL programs are also discussed. Section 4 de-
 146 scribes an empirical study that was conducted to evalu-
 147 ate the proposed fault localisation technique. Section
 148 5 presents the results of the empirical study, and offers
 149 an analysis. Section 6 discusses some important work
 150 related to our study. Finally, Section 7 concludes the
 151 paper, and discusses the future work.

152 2. Background

153 2.1. WS-BPEL

154 The Business Process Execution Language for Web
 155 Service (WS-BPEL) is a widely used language for com-
 156 posing Web services [2]. It can integrate multiple Web
 157 services to form a business process, and make this avail-
 158 able in the form of Web services [4]. In this sense, a
 159 WS-BPEL Web service is actually a composite Web ser-
 160 vice whose invocation interface can be described using
 161 the Web Service Description Language (WSDL) [14].
 162 WS-BPEL programs aim to integrate all Web services
 163 in one line to reduce the program redundancy, without
 164 requiring details of the actual implementation of the ser-
 165 vice [15].

166 WS-BPEL programs are usually composed of four
 167 sections: *variable section*, *partner link section*, *han-
 168 dler section*, and *interaction section* [4]. The variable
 169 section defines input and output messages. The part-
 170 ner link section describes the relationship among the
 171 WS-BPEL process and invoked Web services. The han-
 172 dler section declares the handlers when an exception or
 173 specific event occurs. The interaction section describes
 174 how external Web Services are coordinated to execute a
 175 business process.

176 The basic interaction unit of a WS-BPEL program
 177 is an *activity*, which can be either a *basic activity* or a
 178 *structured activity*. Basic activities describe an atomic
 179 execution step (such as *assign*, *invoke*, *receive*, *reply*,
 180 *throw*, *wait*, and *empty*); and structured activities are
 181 composed of several basic activities or other structured
 182 activities (such as *sequence*, *switch*, *while*, *flow*, and
 183 *pick*). Figure 1 shows an interaction segment of a WS-
 184 BPEL program.

```

<bpel:sequence name="main">
  <bpel:receive name="receiveInput"
    partnerLink="client"/>
  <bpel:assign name="a1">... </bpel:assign>
  <bpel:flow name="a2.4">
    <bpel:invoke name="a2">... </bpel:invoke>
    <bpel:invoke name="a3">... </bpel:invoke>
    <bpel:invoke name="a4">... </bpel:invoke>
  </bpel:flow>
  ...
  <bpel:reply name="replyOutput",.../>
</bpel:sequence >

```

Figure 1: Illustration of the interaction of a WS-BPEL Program

185 2.2. Fault localisation techniques

186 Many fault localisation techniques have been pro-
 187 posed and examined empirically [16]. These tech-
 188 niques explore the fault localisation problem in differ-
 189 ent ways. The reported approaches include those based
 190 on program analysis, on program execution, on pred-
 191 icates, and also using data mining or machine learn-
 192 ing [17]. Among them, spectrum-based fault localisa-
 193 tion is a family of fault localisation techniques based
 194 on program execution that counts the executions of pro-
 195 gram elements in different executions, and uses the ratio
 196 of a program element being exercised in a failed execu-
 197 tion and the one in a passed execution to calculate the
 198 suspiciousness of the program element. We next intro-
 199 duce one representative spectrum-based technique that
 200 will be included for evaluation in our experiments re-
 201 ported in Section 4.

Jones [5] proposed a program execution-based fault
 localisation technique, using statistics, called Tarantula.
 Tarantula involves multiple test cases and executions,
 recording the pass and fail status for each program ele-
 ment a test case executes. The suspiciousness value is
 calculated according to Formula 1 below:

$$suspicion(s) = \frac{\frac{failed(s)}{totalfailed}}{\frac{passed(s)}{totalpassed} + \frac{failed(s)}{totalfailed}}, \quad (1)$$

where $passed(s)$ is the number of test cases that have
 executed the program element s with the output as ex-
 pected; $failed(s)$ is the number of test cases that have
 executed the program element s with the output *not* as
 expected; $totalpassed$ is the total number of passing test
 cases; and $totalfailed$ is the total number of failing test
 cases. Program elements are ranked according to these
 suspicion values, with higher values indicating a higher

210 likelihood of containing the fault. Limitations of the
211 Tarantula method include that it requires a large set of
212 tests, with the pass or fail status known, and that if ei-
213 ther *totalpassed* or *totalfailed* is zero, then the formula
214 is invalid.

215 In our previous work [4], we evaluated the perfor-
216 mance of three traditional spectrum-based fault local-
217 isation techniques, namely Tarantula, Set-Union, and
218 Code Coverage, on two WS-BPEL programs. The fault
219 localisation effectiveness was mainly measured by the
220 correctness percentage, which refers to the percentage
221 of possible position sets that really contain the faulty
222 statements. For one program (SupplyChain), Tarantula,
223 Set-Union, and Code Coverage can successfully locate
224 7 (53.8%), 7 (53.8%), and 5 (38.5%) of 13 faults, re-
225 spectively. For the other program (SmartShelf), Taran-
226 tula, Set-Union, and Code Coverage can successfully
227 locate 10 (50%), 8 (40%), and 8 (40%) of 20 faults,
228 respectively. Such observations implied that Tarantula
229 was the most effective among these three fault local-
230 isation techniques. However, it should be noted that
231 the performance of Tarantula (as well as Set-Union and
232 Code Coverage) on WS-BPEL programs is not as good
233 as that on traditional programs. For instance, Tarantula
234 can achieve a score of 90% (i.e. the fault was found
235 by examining less than 10% of the executed code) for
236 the 55.7% faulty versions in seven C programs in the
237 Siemens suite [18]. In other words, there is a need
238 for more advanced techniques specifically for localising
239 faults in WS-BPEL programs.

240 In order to further improve the fault localisation ef-
241 fectiveness and efficiency of WS-BPEL programs, we
242 explore predicate switching and program slicing-based
243 fault localisation for WS-BPEL programs, and address
244 the key issues of the proposed technique. We also com-
245 pare the fault localisation effectiveness and efficiency of
246 the proposed technique with that of predicate switching
247 only, slicing only, and Tarantula, since Tarantula was
248 evaluated to be the most effective technique in our pre-
249 vious work [4].

250 3. BPELswice: A Fault Localisation Technique for 251 WS-BPEL Programs

252 Normally, traditional programs written in Java or C
253 focus more on trivial operations on various data struc-
254 tures, from the simple data types such as char, integer,
255 boolean, and real to the complex data types such as ar-
256 ray, struct/union, pointer, and their composites. Differ-
257 ent from them, WS-BPEL programs specify a work-
258 flow with coarse-grained activities, which usually in-
259 volve simple operations such as invoking an external

260 Web services or a variable assignment. The transitions
261 between the activities are implemented through some
262 common control logic such as sequence, optional, loop,
263 and also newly introduced concurrency and synchroni-
264 sation. These unique features of WS-BPEL programs
265 pose challenges for fault localisation, and thus call for
266 new techniques.

267 3.1. Overview

268 A fault is considered to be detected when a test case
269 causes the program to have an incorrect output. The
270 fault-revealing test case is also called the failed test case,
271 the counterpart of which is called the successful test
272 case that results in correct output. Each failed test case
273 corresponds to the execution of a particular path, which
274 can help us precisely localise the fault.

275 A typical program usually contains a number of
276 branches, as part of its logical structure. These branches
277 are controlled by some conditional slice, called a predi-
278 cate, which evaluates to either true or false. If we force a
279 predicate to change its true or false status, then we have
280 a process called *predicate switching*. The goal of this
281 process is to find a predicate that has strong influence
282 on the data flow, and if the branch outcome of the predi-
283 cate is switched and execution is continued, the out-
284 put of the program may be changed from “incorrect” to
285 “as expected”, thereby providing a valuable clue as to
286 the location of the fault. Such a predicate, if it exists,
287 is called a *critical predicate*. Statements that change
288 the values of variables related to this critical predicate,
289 which exist between it and the start of the program, are
290 called *the backward slice*. Because the critical predi-
291 cate may be strongly influenced by the backward slice,
292 the analysis is necessary, and may provide further guid-
293 ance to precisely locate the actual fault which caused
294 the predicate’s incorrect status.

295 We hereby propose a fault localisation technique for
296 WS-BPEL programs based on the predicate switching
297 and backward slices, which is abbreviated as *BPEL-*
298 *swice* in the rest of the paper. Figure 2 shows the ba-
299 sic framework of the technique, for which it is assumed
300 that at least one test case demonstrates the presence of a
301 fault in the WS-BPEL program.

302 As shown in Figure 2, BPELswice includes the fol-
303 lowing five major steps:

- 304 1. *Parsing the WS-BPEL program* enables an enumer-
305 ation of all the possible paths through the program
306 and all predicates associated with each path, which
307 facilitates the backward slice analysis.
- 308 2. *Predicate switching* revises a predicate of the WS-
309 BPEL program and then deploys the revised WS-

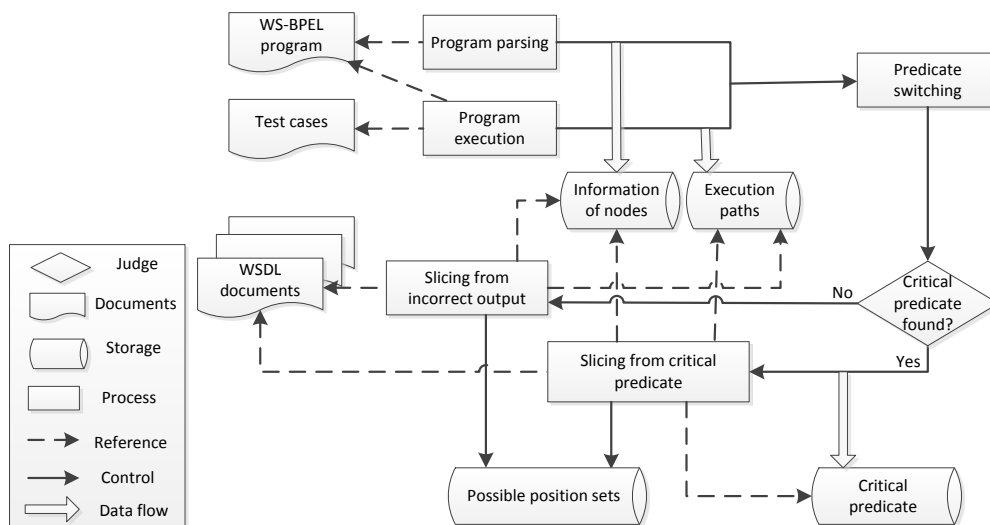


Figure 2: Framework of the proposed BPELswice technique

310 BPEL program for execution. In order to execute the different conditional part, this stage actually changes the predicate value from “true” to “false”, or vice versa. The switching is implemented by negating the predicate of the original WS-BPEL program variant is derived in this stage. During the switching, we can use some strategies to decide the switching ordering of the predicates.

319 3. *Execution* includes passing the failed test cases as input for the deployed WS-BPEL program variant and obtaining the actual output. This stage usually involves a WS-BPEL engine, such as Apache ODE [13], which produces a series of events in a log file. Through the analysis of the log file, one can extract all executed path nodes and variable’s values during the current execution.

327 4. *Evaluation* involves comparison of the actual output with what was expected (i.e. the oracle). In this stage, the main goal is to observe how the predicate switching impacts on the output of the revised WS-BPEL program. If the output is different from the expected output, then we continue to switch the remaining ordered predicates (i.e. repeat *predicate switching* and *evaluation* steps). This switching process is repeated until the actual output becomes the same as the oracle, at which moment the critical predicate is found and then we can go to the

338 next, *slicing*, step.

339 5. *Slicing* aims to further reduce the possible position set of the fault. In this step, the main task is to find backward slices between the critical predicate and the start node (i.e. the *receiveInput* node of the WS-BPEL program). Note that the backward slices are those nearest statements that directly affect the values of the elementary variables in the critical predicate. Through comparing the values achieved at run-time with what we expected, we can find the variable and its statement node that are different from the expected ones.

350 Each major step is detailed in the following sections.

3.2. Parsing the WS-BPEL program

352 It is necessary to parse the WS-BPEL program for user’s understanding of its structure. The document root is critical for the parsing, and is the entry (the so-called “main node”) to the program. Typically, there are two traverse methods to read a program: Depth-First Traversal and Breadth-First Traversal. The choice of traversal method does not affect the information we obtain from a WS-BPEL program. Once all the WS-BPEL node information has been obtained, it is inserted on a JTree [19], which shows all paths through the program.

362 The computation in a WS-BPEL program which calculates an output can be divided into two categories: the

364 *Data Part (DP)* and the *Select Part (SP)* [11]. The Data 399
 365 Part includes execution instructions which help in com- 400
 366 puting data values or defining variables that are involved 401
 367 in computing the output of the program. Sometimes 402
 368 these are important parts for backward slices. The Se- 403
 369 lect Part includes instructions which cause the selection 404
 370 of program branches — for example, in the conditionals 405
 371 of “if”, “switch”, and “while” activities. Different pro- 406
 372 gram executions may involve different data slices, lead- 407
 373 ing to generation of differently computed output values. 408
 374 Sometimes the conditions in Select Parts may depend 409
 375 on the values computed in the Data Parts. Furthermore, 410
 376 because the output values are determined by the selec- 411
 377 tion of program branches, it is necessary to analyse the 412
 378 Select Parts to locate the faulty code. Figure 3 illustrates 413
 379 the Data Part and the Select Part in a sample WS-BPEL 414
 380 program.

```

<bpel:sequence name="main">
  <bpel:receive name="receiveInput" partnerLink="client"/>
  <bpel:assign validate="no" name="Assign">
    <bpel:copy>
      <bpel:from>.....</bpel:from>
      <bpel:to variable="CheckStatusRequest" part="parameters">
        <bpel:to>
          </bpel:copy>
        </bpel:copy>
      </bpel:assign>
    </bpel:if>
    <bpel:condition><![CDATA[$_amount < $init_amount]]></bpel:condition>
    <bpel:sequence>
      <bpel:assign validate="no" name="Assign2">...</bpel:assign>
    </bpel:sequence>
  </bpel:if>
  ...
</bpel:sequence>

```

Figure 3: Data Part and Select Part in WS-BPEL Program

381 In summary, the output of a program is influenced by 431
 382 two things in the code: the data dependence part, and 432
 383 the selection part. Altering code in the selection part 433
 384 may lead to a change in the output, which may enable 434
 385 us to track down where in the code an error was made. 435
 386 The selection part of the code which controls the flow 436
 387 is called a predicate, and a predicate whose outcome is 437
 388 changed, e.g., from false to true, resulting in a change 438
 389 to the overall program output changing to the expected 439
 390 output, is called a critical predicate. The question of 440
 391 how to find the critical predicate will be addressed in 441
 392 the following. 442

393 3.3. Predicate Switching

394 We aim to reduce the possible location range of the 443
 395 fault using the critical predicate technique [11], a central 444
 396 part of which is predicate switching. Predicate switch- 445
 397 ing involves going through a sequence of predicates in 446
 398 any executed path, switching the boolean status of each 447

(e.g. changing “true” to “false”), and examining the im-
 pact on the output: if the output changes to the expected
 one, then the critical predicate is the predicate whose
 status was most recently switched.

Before starting to switch predicates, we first order
 them, to reduce the amount of time required to identify
 the critical predicate. We hereby illustrate one typical
 and widely-used ordering strategy, called Last Executed
 First Switching ordering (LEFS) [11].

The LEFS ordering strategy is based on the observa-
 tion that a failure (that is, the incorrect output differ-
 ent from expectation) usually occurs not far away from
 the execution of the faulty code. This leads to the deci-
 sion to reverse the order of predicates such that the
 first one to be checked will be the most recently exe-
 cuted. Suppose that a test case t caused a failure of a
 WS-BPEL program. We first identify the sequence σ_n
 of predicates when executing t on the program, saying
 $p_1, p_2, \dots, p_{n-1}, p_n$ where p_n is the predicate closest
 to the point of program failure, while p_1 is the predi-
 cate farthest from the point of failure. LEFS would therefore
 reorder σ_n to $\sigma'_n : p_n, p_{n-1}, \dots, p_2, p_1$, with the result that
 the last encountered conditional branch is the first to be
 switched.

The detailed predicate switching procedure is de-
 scribed next. Given a WS-BPEL program $BPEL$,
 a failed test case t , its expected output O , and its
 associated LFES reordered predicate sequence $\sigma'_n :$
 $p_n, p_{n-1}, \dots, p_2, p_1$, the following steps will be taken.

- 428 1. Set $i = n$, where i is used to index the order of
 429 predicates in σ'_n .
- 430 2. Mutate the WS-BPEL program $BPEL$ by negating
 431 p_i (e.g. change the Boolean value of p_i from TRUE
 432 to FALSE, or vice versa) and derive the mutated
 433 WS-BPEL program $BPEL_{Variant}$.
- 434 3. Redeploy $BPEL_{Variant}$.
- 435 4. Execute $BPEL_{Variant}$ with t and obtain its output
 436 O' ,
 - 437 - If O' is the same as O , p_i is identified as the
 438 critical predicate and the procedure is termi-
 439 nated;
 - 440 - If O' is different from O and i is equal to 1,
 441 there is no critical predicate and the proce-
 442 dure is terminated;
 - 443 - Otherwise, decrease i by one and go back to
 444 Step 2.

As an illustration, in Figure 4, the output remains in-
 correct until p_{n-4} is switched. In other words, p_{n-4}
 is the critical predicate. Note that it is possible that none

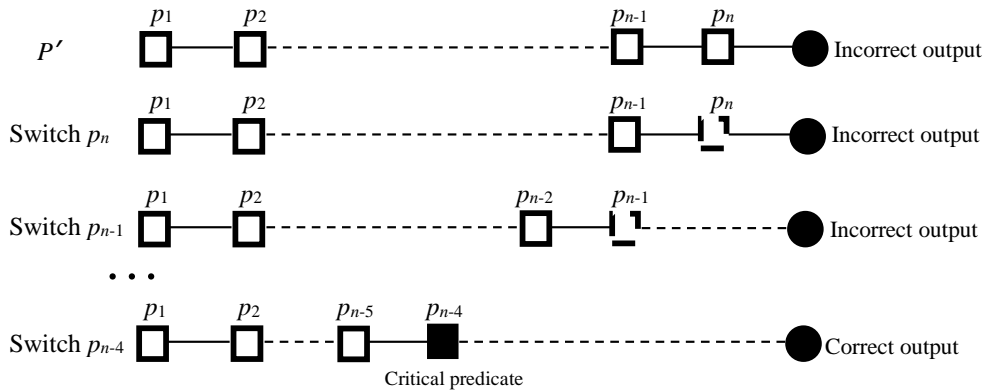


Figure 4: Illustration of searching critical predicate

448 of the predicates could be identified as the critical predicate 481
 449 even after all of them have been switched. In our 482
 450 BPELswice technique, the backward slicing will be 483
 451 implemented either from the critical predicate (if it is identified) 484
 452 or from the end node of the program (if no critical 485
 453 predicate is identified). 486

454 Unlike the original predicate switching, our method 487
 455 employs a mutation-based technique to implement predicate 488
 456 switching, i.e. we first mutate a predicate in the 489
 457 WS-BPEL program according to the LEFS strategy and 490
 458 then redeploy the mutated WS-BPEL program. This 491
 459 treatment is different from the implementation of predicate 492
 460 switching for traditional programs. For instance, 493
 461 a dynamic instrumentation technique was used to im- 494
 462 plement predicate switching for C programs [11]. We 495
 463 do not believe instrumentation is suitable for WS-BPEL 496
 464 programs, because it may introduce significant changes 497
 465 to the original program and require modifications of the 498
 466 existing WS-BPEL engine, as discussed in Section 1. 499

467 3.4. Execution

468 During the execution process, we use an Apache 501
 469 ODE engine [13] to redeploy the WS-BPEL service. 502
 470 The ODE engine is capable of talking to Web services, 503
 471 sending and receiving messages, handling data manip- 504
 472 ulation and performing error recovery, as described in 505
 473 the process definition. It supports both long and short 506
 474 duration process executions to facilitate all services in 507
 475 an application, and enables WS-BPEL programs to be 508
 476 invoked as services. The execution process consists of 509
 477 two steps: service deployment and process compiling. 510
 478 During the service deployment, the package (including 511
 479 all individual services and description files) is copied to 512
 480 the server, where the ODE engine deploys the service

and outputs the `deploy.xml` file. We used Apache Tom-
 cat for this. The second step (process compiling) helps
 ensure that the service can be executed and invoked suc-
 cessfully. When a new WS-BPEL program variant is
 copied into the process directory of the engine, previous
 ones are deleted, and the engine redeploys it at once.

Communication between the client and server de-
 pends on Apache Axis2 [20], which encapsulates the
 test case in a soap message, and sends it to the server.
 After sending these messages, Axis2 parses and passes
 them to `receiveInput` in the WS-BPEL program. The
 engine then assigns values and invokes some services to
 complete the operation. As soon as the engine produces
 the output, Axis2 parses and encapsulates it into a soap
 message, and passes it to the client side.

496 3.5. Evaluation

497 Following the execution, an output is produced, and 501
 498 one of the following two situations exists: either this is 502
 499 the first execution, in which case the next step is to begin 503
 500 the switching process; or it is necessary to compare the 504
 501 output with what was expected to confirm whether or 505
 502 not the current predicate under evaluation is the critical 506
 503 predicate — output being consistent with expectation 507
 504 means that the critical predicate has been found. If the 508
 505 outputs remain different, then the critical predicate has 509
 506 not yet been found, and the predicate switching process 510
 507 continues. 511

508 3.6. Slicing

509 Once the critical predicate has been identified, we 510
 511 first examine whether the fault is located in the criti- 511
 512 cal predicate. If yes, the fault localisation process can 512
 be terminated. Otherwise, the related program slices

513 should be examined to localise the most suspicious
 514 statements related to the fault. The procedure for identifying
 515 these slices is discussed as follows.

516 The input of the slicing procedure includes

- 517 • $P(T)$, the execution trace when executing the
 518 program P with a failed test case. $P(T) = \langle X_1, X_2, \dots, X_i, \dots, X_n \rangle$, where X_i is a node in the
 519 execution path, $1 \leq i \leq n$, and n is the total number of
 520 nodes in the path.
 521
- 522 • X_q , the critical predicate, which is an element of
 523 $P(T)$, that is, $1 \leq q \leq n$.
- 524 • $US(X_i)$, the set of def-use pairs of a node X_i .
 525 $US(X_i) = \{ \langle v_{d1}^i, v_{u1}^i \rangle, \langle v_{d2}^i, v_{u2}^i \rangle, \dots \}$. It can be
 526 obtained from the parsing of WS-BPEL program
 527 and WSDL documents, as detailed in the follow-
 528 ing.

529 According to the basic concepts of data flow analysis
 530 [21], the *def* of a variable refers to the operation where
 531 a concrete value is allocated to the variable, while the
 532 *use* operation means that the variable is utilised either
 533 in a calculation (*c-use*) or a predicate (*p-use*). Since the
 534 data flow in WS-BPEL is different from that in tradi-
 535 tional programming languages, we have the following
 536 definitions for the def and use in WS-BPEL programs.

- 537 • In WS-BPEL, the def of a variable normally happens
 538 at
 - 539 – The *Receive* activity: the “variable” attribute,
 - 540 – The *Invoke* activity: the “outputVariable” attribute, and
 - 541
 - 542 – The *Assign* activity: the left part of the expression in the “to” element. 556
 - 543
- 544 • In WS-BPEL, the c-use of a variable normally happens 557
 - 545
 - 546 – The *Invoke* activity: the “inputVariable” attribute, 560
 - 547
 - 548 – The *Reply* activity: the “variable” attribute, 562
 - 549 and 563
 - 550 – The *Assign* activity: the right part of the expression in the “from” element. 564
 - 551
- 552 • In WS-BPEL, the p-use of a variable normally happens 567
 - 553
 - 554 – The *Switch* activity: the Boolean expression 570
 - 555 in the “case” statement, 571

```

backwardSlice ( $P(T), X_q, US(X_i)$ )
{
1  set a set  $C = \Phi$ ;
2  initialise a set  $V = \{v_{u1}^q, v_{u2}^q, \dots\}$ , where  $v_{uj}^q$  is
   used in  $X_q$ ;
3  for each  $v_{uj}^q$  ( $j = 1, 2, \dots$ ) {
4    if ( $v_{uj}^q$  is of basic type) {
5      for each  $X_k$  ( $k = q-1, q-2, \dots$ ) in  $P(T)$  {
6        if ( $v_{dh}^k == v_{uj}^q$ ) {
           /* $\langle v_{dh}^k, v_{uj}^q \rangle$  is a def-use pair. */
7          add  $X_k$  into  $C$ ;
8          break;
9        }
10       }
11     }
12   else {
13     find all variables of basic type  $\{p_1, p_2, \dots\}$ 
       that compose  $v_{uj}^q$ ;
14     for each  $p_l$  ( $l = 1, 2, \dots$ ) {
15       for each  $X_k$  ( $k = q-1, q-2, \dots$ ) in  $P(T)$  {
16         if ( $v_{dh}^k == p_l$ ) {
17           add  $X_k$  into  $C$ ;
18           break;
19         }
20       }
21     }
22   }
23   return  $C$ ;
}
  
```

Figure 5: Procedure of backward slicing

- The *While* activity: the “condition” attribute, and
- The *If* activity: the “condition” attribute.

The basic procedure of backward slicing is as follows. Assume that the critical predicate is X_q , and all the variables used in X_q are $\{v_{u1}^q, v_{u2}^q, \dots\}$. For each v_{uj}^q , we search the nearest node backward (that is, first X_{q-1} , then X_{q-2}, \dots) until we find the node X_k where v_{uj}^q (or the variables of basic type that comprise v_{uj}^q) is defined (that is, the latest definition of v_{uj}^q before it is used in X_q). The collection of all X_k will be the set of slices that are expected to contain the fault. The detailed backward slicing procedure is given in Figure 5. Note that, unlike programs written in traditional languages, WS-BPEL programs normally involve variables of complex type. For a variable v_{uj}^q of complex type, we need to first

572 decompose it into variables of basic type ($\{p_1, p_2, \dots\}$ in 623
573 Figure 5), and find the nearest node where each p_i is de- 624
574 fined. Such a process, as shown by Statements 12 to 21 625
575 in Figure 5, is specific to WS-BPEL. 626

576 3.7. Illustration

577 We use the SmartShelf WS-BPEL program to illus- 629
578 trate how our BPELswice technique works. SmartShelf 630
579 is composed of 53 nodes and 13 services, as shown in 631
580 Figure 6. Every service uses some parameters which
581 come from the WS-BPEL program ReceiveInput part:
582 SmartShelf uses the three parameters named “name”, 632
583 “amount” and “status”, the first two of which come from
584 ReceiveInput, and the third from the ReadStatus. 633

585 For the CheckStatus service, SmartShelf invokes dif- 634
586 ferent services according to the variable “amount”, and 635
587 refers to the status to judge whether the product is ex-
588 pired or not, returning “*Expired commodity has been re-* 636
589 *placed*” or “*Commodity is in good status*”, respectively. 637
590 The CheckLocation service returns whether or not the
591 product is available on the shelf. If not, it invokes an-
592 other service to correct the location. The CheckQuan-
593 tity service checks whether or not a sufficient amount of
594 the good is available, returning either “*Quantity is suffi-* 641
595 *cient*”, or “*Warehouse levels are insufficient, alert staff*
596 *to purchase*”, as appropriate. 642

597 The test case with “name”= *candy*, and “amount” 644
598 = 100, “*candy&&100*”, can be passed as input to this 645
599 WS-BPEL service. Because of the initial settings in
600 the database, the executed flow structure sequence in-
601 volves the services “CheckStatus”, “CheckLocation” 648
602 and “CheckQuantity”. The executed runtime path is
603 $Path_1 = \{1 - 6, 7 - 10, 16 - 17, 18 - 21, 27 - 28, 29 -$ 649
604 $32, 33 - 37, 38 - 42, 48 - 53\}$, as obtained from the
605 ODE engine. Next, the predicate nodes are identi-
606 fied in the path: the predicate set in $Path_1$ is $Pre_1 =$ 652
607 $\{10, 21, 32, 37\}$. These predicates can then be switched.
608 In this study, we use the LEFS ordering strategy, which
609 reorders Pre_1 to $Pre'_1 = \{37, 32, 21, 10\}$. The predicate
610 details for $Path_1$ are shown in Table 1. 655

611 When switching the predicates according to the order 657
612 in Pre'_1 , two steps are involved. First, we identify the
613 target predicate, and switch its status (e.g., $_status = 0$ 659
614 becomes $_status != 0$). Then, the previous WS-BPEL
615 file is deleted and replaced with this new one, and the
616 ODE engine is used to redeploy the service. 662

617 After each predicate is switched, the same test case 663
618 (“*candy&&100*”) is input, and the output is recorded
619 and compared with the expected output. Suppose that
620 when the predicate with “*location = 0*” (that is, If2 at
621 node 21) is switched to “*location != 0*”, the resulting
622 output becomes the same as the expected output — this 667

623 predicate is therefore the critical predicate, and is there-
624 fore suspected to be strongly connected to the fault. If
625 the fault is found in this predicate, the localisation pro-
626 cess can be successfully ended. Otherwise, some back-
627 ward slices can be captured at runtime using the ODE
628 engine. In this example, from the critical predicate (at
629 node 21), we search backward and identify Assign16
630 (node 20), CheckLocation (node 18), and Assign (node
631 3) as the slices that are expected to contain the fault.

632 4. Empirical Study

633 We conducted a series of experiments to evaluate
634 the performance of the proposed BPELswice technique.
635 The empirical study was designed as follows.

636 4.1. Research Questions

637 Our empirical study was mainly focused on answer-
638 ing the following four research questions.

639 **RQ1** How effectively does BPELswice localise the
640 fault in a WS-BPEL program?

One critical criterion for evaluating the effective-
ness of a fault localisation technique is whether
or not it can successfully identify the state-
ments/blocks that contain the fault. In our study,
we applied BPELswice in the debugging of hun-
dreds of mutants of three object programs, and then
measured its effectiveness through examining how
many faults BPELswice successfully localised.

649 **RQ2** How precise is BPELswice in fault localisation?

In addition to the high fault localisation effective-
ness, it is also important for a technique to have a
high precision in the localisation. One naive way
to maximise the high fault localisation effective-
ness is to simply identify all executable statements
in the program, which must contain the fault. Ob-
viously, such a way is useless and very inefficient.
In order to improve the efficiency of debugging, the
number of statements identified by a fault localisa-
tion technique should be as small as possible, with-
out eliminating the faulty statement. In our study,
we measured the precision of BPELswice by evalu-
ating how many statements it identified for each
mutant.

664 **RQ3** How quickly can BPELswice localise the fault?

If a technique is very time-consuming, its appli-
cability would be greatly hindered. Therefore, it
is necessary to investigate the execution time of

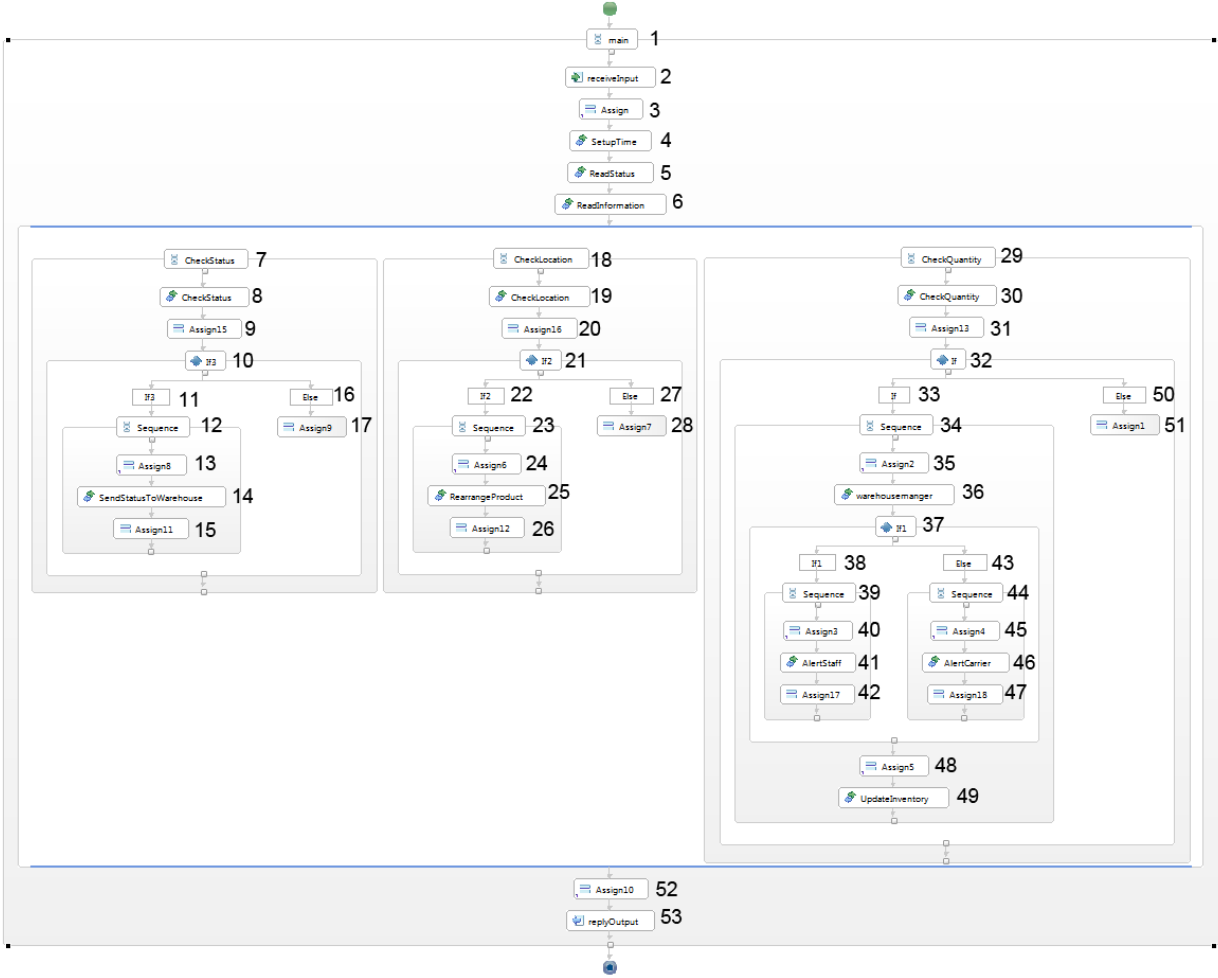


Figure 6: Structure of the SmartShelf WS-BPEL program

Table 1: SmartShelf’s Predicate Set in $Path_1$ for Test Case “candy&&100”

If3 (node 10)	If2 (node 21)	If (node 32)	If1 (node 37)
$\$_{status} = 0$	$\$_{location} = 0$	$\$_{amount} < \$_{init_amount}$	$warehouseManagerReturn < \$_{init_amount}$

668 the proposed BPELswice technique to see whether
 669 it can provide a high effectiveness and precision
 670 within reasonable time.

671 **RQ4** How many times does BPELswice need to switch
 672 predicates?

673 It can be naturally conjectured that the computa-
 674 tional overhead in BPELswice is mainly related
 675 to the predicate switching process. In this study,
 676 we will evaluate the concrete number of predicate
 677 switches conducted by BPELswice on WS-BPEL
 678 programs.

679 4.2. Variables and Measures

680 4.2.1. Independent variable

681 The independent variable of our empirical study is the
 682 fault localisation technique. Our proposed BPELswice
 683 technique was selected for this variable. Since BPEL-
 684 swice is based on the predicate switching and backward
 685 slicing techniques, it is natural to select each of these
 686 two techniques (denoted *switchOnly* and *sliceOnly* in
 687 the rest of the paper) as the baseline techniques for compar-
 688 ison. In addition, we selected the Tarantula techniq-
 689 ue as another baseline technique for a better compar-
 690 ison with previous work [4]. As discussed in Section 2,

691 Tarantula was the most effective fault localisation technique for WS-BPEL programs, compared with the Code Coverage and Set-Union techniques [4].

694 4.2.2. Dependent variable

695 The dependent variable relates to the measurement. In order to answer RQ1, we used the metric *success rate* to measure the fault localisation effectiveness. Given a number of faults, the success rate of a fault localisation technique is defined as the percentage of the number of successfully localised faults out of the total number of faults, that is,

$$\text{success rate} = \frac{\text{number of localised faults}}{\text{total number of faults}} \times 100\%, \quad (2)$$

702 where a fault is said to be localised by a technique if the statement identified by the technique contains the fault. Obviously, the higher the success rate is, the more effective a technique is in fault localisation.

706 In order to answer RQ2, we measured the precision with the metric *identification ratio*. Given a fault, if a technique successfully localises it, the precision of the technique is defined as the percentage of the number of statements identified by the technique against the total number of statements of the program, that is,

$$\begin{aligned} &\text{identification ratio} \\ &= \frac{\text{number of identified statements}}{\text{total number of statements}} \times 100\%. \end{aligned} \quad (3)$$

712 Intuitively speaking, the lower the identification ratio is, the more precise a technique is in fault localisation.

714 For RQ3, we made use of the *runtime* to measure how fast a fault localisation technique can be. For the BPELswice technique, the runtime is composed of the time for finding the critical predicate and that for backward slicing. Note that the execution time of the Tarantula technique is not available, as the ranking of the program elements is purely based on suspiciousness values, which are calculated based on the information at the testing stage. Therefore, we only compared the runtime for BPELswice, switchOnly, and sliceOnly.

724 For RQ4, we measured the number of predicate switches (denoted N_{ps}) that BPELswice requires when it is used to localise a fault in a WS-BPEL program. Note that there is no predicate switching process in sliceOnly and Tarantula, and the switchOnly technique should have exactly the same N_{ps} as BPELswice. Hence, we will only present the N_{ps} of BPELswice.

731 4.3. Object Programs

732 We selected three WS-BPEL programs, SmartShelf, TravelAgency, and QuoteProcess, as the objects in our empirical study. The basic information of these three programs is summarised in Table 2, which gives the lines of code (LOC), the number of implemented external services (#Services), and the number nodes (#Nodes) of each program. The SmartShelf program accepts the input parameters, including the name and amount of commodity, implements various services, and returns the status of commodity, the location of shelf, the quantity in warehouse, etc. The TravelAgency program is basically a booking system, involving the selection of travel plan, hotel reservation, ticket booking, and banking. The QuoteProcess program is used to simulate the user’s selection of activities: it selects different activities according to user’s input parameters. Note that TravelAgency is a sample WS-BPEL program, which was first introduced by OASIS [22], and is currently available at [23], while SmartShelf and QuoteProcess, on the other hand, were created by us according to third party business scenarios (available at [24]).

Table 2: Object programs

Program	LOC	#Services	#Nodes
SmartShelf	579	13	53
TravelAgency	427	6	24
QuoteProcess	400	6	21

754 4.4. Mutant Generation

755 For each object program, we generated a family of mutants using the MuBPEL tool [25]. Each mutant contains one and only one fault, which was seeded by applying a mutation operator into a certain statement. In MuBPEL, there are totally over 30 mutation operators [26]. However, not all the operators were applicable to each object program. As a matter of fact, due to the unique features of WS-BPEL, normally only a few mutation operators can be applied to a WS-BPEL program [27]. In our study, we used seven, nine, and seven mutation operators to generate mutants for SmartShelf, TravelAgency, and QuoteProcess, respectively. After the mutants were generated, we found that some could not be executed due to syntactic errors, so we eliminated them. There were also several so-called equivalent mutants, that is, they always showed the same execution behaviours as the basic programs. These equivalent mutants were also eliminated. Finally, our empirical study

773 used 57, 56, and 53 mutants for SmartShelf, TravelA-
774 gency, and QuoteProcess, respectively. The basic mu-
775 tant generation information is summarised in Table 3.

Table 3: Mutant generation

Program	#Operators	#Mutants
SmartShelf	7	57
TravelAgency	9	56
QuoteProcess	7	53

776 4.5. Test Case Generation

777 We used random testing to generate a large amount
778 of test cases. For a given WS-BPEL program, we first
779 parsed the program to obtain the constraints on the in-
780 put parameters. Random test data were generated for
781 each input parameter, with the condition that the random
782 data must satisfy the constraints. The random test case
783 generation process was repeated until each fault was de-
784 tected by at least one test case. Here, a fault was consid-
785 ered to be detected when a test case caused the relevant
786 mutant to show different behaviour (more specifically,
787 different output) to the basic program.

788 4.6. Experiment Procedure

789 Our empirical study was conducted on a laptop with a
790 Windows 7 64-bit Operating System, an 8-core 3.4GHz
791 CPU (i7-4790), and 16G memory. The experiments
792 were run on Tomcat 6 and the ODE engine [13], which
793 can provide a lot of runtime information, including the
794 execution path, predicates on the path, and the variable
795 values. All this information can assist us in finding the
796 fault. The basic procedure of the experiments is as fol-
797 lows.

- 798 1. Start the ODE engine, and deploy one mutant of a
799 WS-BPEL program.
- 800 2. Execute the test cases.
- 801 3. Obtain the critical information, including execu-
802 tion path, predicate sets, and actual output.
- 803 4. Find the test case that kills the mutant (that is, that
804 causes the actual output to differ from the expected
805 output).
- 806 5. Initiate predicate switching to identify the critical
807 predicate.
- 808 6. If the critical predicate is identified, execute back-
809 ward slicing from the critical predicate to localise
810 the fault.
- 811 7. If the critical predicate is not identified, execute
812 backward slicing from the wrong output.
- 813 8. Repeat the above steps until all mutants of each
814 object program have been executed.

815 4.7. Threats to Validity

816 4.7.1. Internal validity

817 The main threat to internal validity relates to the im-
818 plementation. The programming for implementing the
819 BPELswice involved a moderate amount of work. Two
820 of our authors conducted the programming work, one
821 mainly for predicate switching, and the other mainly
822 for slicing. All the source code was reviewed, cross-
823 checked, and tested by different individuals. We are
824 confident that the proposed BPELswice technique was
825 correctly implemented, and thus the threat to internal
826 validity has been minimised.

827 4.7.2. External validity

828 The threat to external validity is concerned with the
829 selection of object programs and the fault types under
830 study. In our study, we selected three representative
831 WS-BPEL programs as the objects. These programs
832 implement different functionalities, invoke different ser-
833 vices, and have different scopes. Although we have en-
834 deavoured to maximise the diversity of object programs,
835 we cannot guarantee that the results obtained from these
836 three programs can be generally applied to any other
837 WS-BPEL program. In addition, due to the nature of
838 WS-BPEL programs, we could not study all possible
839 fault types (mutation operators) for WS-BPEL, so it is
840 also uncertain whether our conclusion is applicable to
841 those fault types that were not investigated in this study.
842 Moreover, it was assumed in this study that only one
843 fault exists in a mutant. However, it would be very un-
844 likely that the BPELswice technique could not work ef-
845 fectively for multiple faults — this is something that re-
846 quires further empirical investigation.

847 4.7.3. Construct validity

848 There is little threat to construct validity in our study.
849 The two metrics used in this study, success rate and
850 identification ratio, are very straightforward in measur-
851 ing the fault localisation effectiveness and precision.

852 4.7.4. Conclusion validity

853 In our experiments, we examined the performance of
854 BPELswice based on 166 mutants of three object pro-
855 grams. A large amount of test cases were generated ran-
856 domly. Therefore, a sufficient amount of experimental
857 data was collected to guarantee the reliability of our re-
858 sults. In this sense, the threat to conclusion validity is
859 very small.

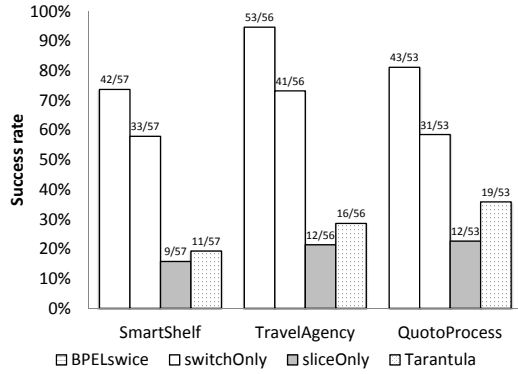


Figure 7: Comparison of success rates for BPELswice, switchOnly, sliceOnly, and Tarantula

5. Results and analysis

5.1. RQ1: Effectiveness

The experimental results of the success rates for BPELswice, switchOnly, sliceOnly, and Tarantula are given in Figure 7.

Based on Figure 7, we can observe that among 57, 56, and 53 faults (each in one mutant) for SmartShelf, TravelAgency, and QuotoProcess, respectively, BPELswice could successfully localise 42, 53, and 43 faults, giving success rates of 73.68%, 94.64%, and 81.13%, which were consistently the highest among the four fault localisation techniques. These results clearly show that BPELswice was much more effective than the other three techniques in the fault localisation for WS-BPEL programs.

We also investigated the faults that BPELswice failed to localise. We found that all these faults are of the types of “remove an activity” and “remove an element”. Since BPELswice is based on the execution path, it cannot localise fault types related to “removal”. For the same reason, Tarantula cannot localise these “removal” fault types either. In other words, all the faults localised by Tarantula were also successfully localised by BPELswice, but some faults localised by BPELswice could not be localised by Tarantula.

5.2. RQ2: Precision

The identification ratios for BPELswice, switchOnly, sliceOnly, and Tarantula are summarised in Figure 8. In these figures, box plots are used to display the distribution of identification ratios for one fault localisation technique on one object program. In each box, the

lower, middle, and upper lines represent the first quartile, median, and the third quartile values of the identification ratios, respectively, while the lower and upper whiskers denote the min and max values, respectively; in addition, the mean value is depicted with the round dot.

Figure 8 clearly shows that the BPELswice technique outperformed the other three techniques in terms of identification ratio. In other words, BPELswice was the most precise technique for fault localisation of WS-BPEL programs.

In summary, the proposed BPELswice technique was not only effective in localising most faults, but also precise in identifying a small number of statements that contain the faults. This high efficacy and high precision of BPELswice would, in turn, significantly improve the cost-effectiveness of debugging WS-BPEL programs.

5.3. RQ3: Runtime

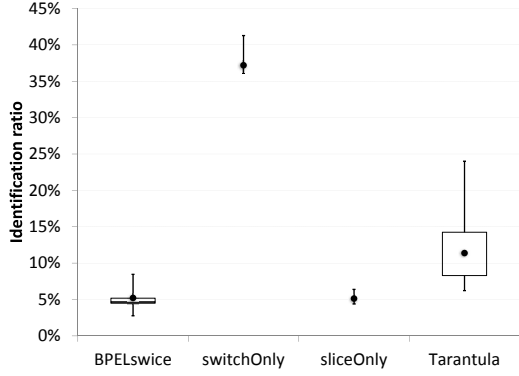
In our experiments, we executed a fault localisation technique three times on every mutant, and then recorded the runtime. The runtime results for BPELswice, switchOnly, and sliceOnly are given in Figure 9, where box plots are again used to show the distribution of the runtime (in seconds) for each object program. As discussed in Section 4.2, the runtime of Tarantula is not included here.

It can be observed from Figure 9 that, compared with BPELswice and switchOnly, sliceOnly has a very short runtime. This implies that predicate switching is much more time-consuming than slicing. Also due to the negligible runtime of slicing, the overall runtime of BPELswice is almost the same as that of switchOnly. We can also observe that the complete fault localisation procedure of BPELswice only takes tens of seconds. Such a runtime is acceptable, especially considering the high effectiveness and precision of BPELswice.

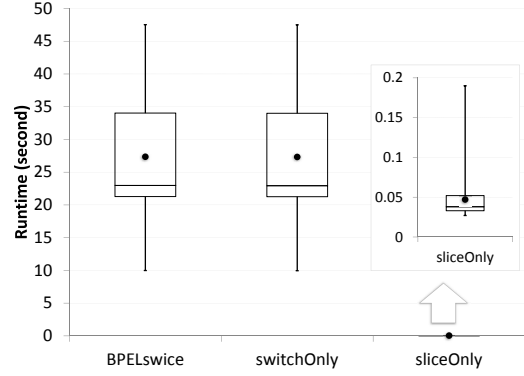
5.4. RQ4: Predicate Switches

As shown in the previous section, predicate switching is the main computation overhead in BPELswice. For each mutant where BPELswice successfully localised the fault, we also recorded the number of predicate switches (N_{ps}) for each mutant, as summarised in Table 4. As discussed in Section 4.2, we only report the N_{ps} of BPELswice, as switchOnly has exactly the same values.

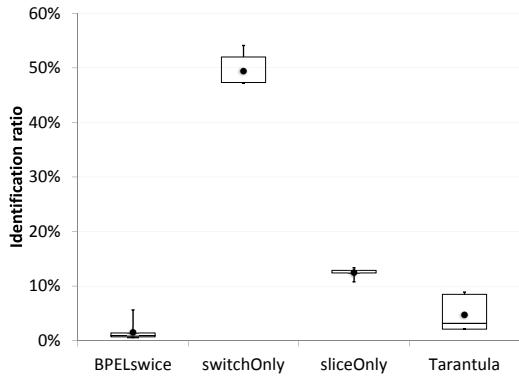
In the third column of Table 4, we report the number of mutants that are associated with the same value of N_{ps} (in the second column). In addition, the fourth column gives the range of the runtime (in seconds) for



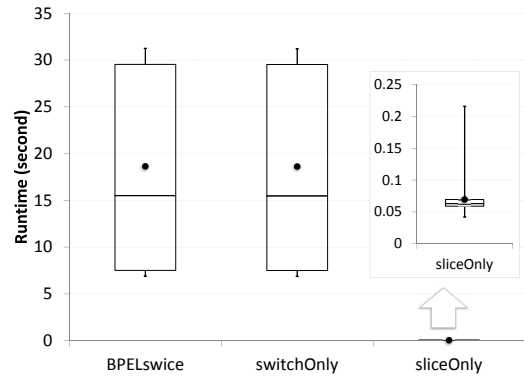
(a) SmartShelf



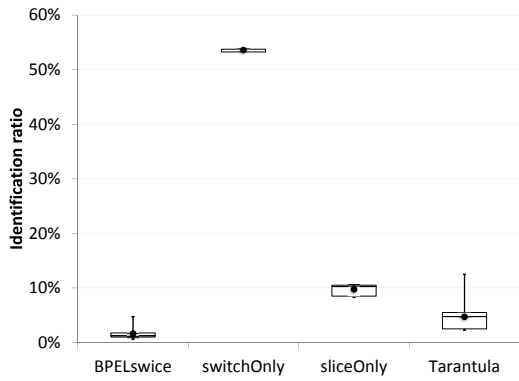
(a) SmartShelf



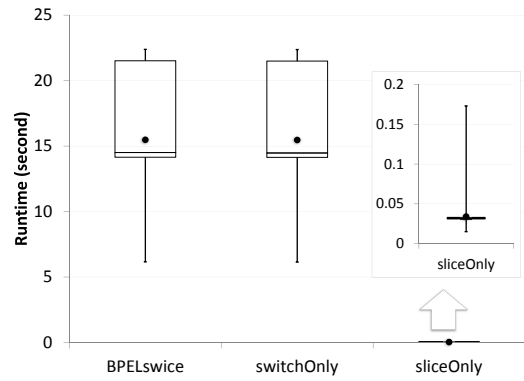
(b) TravelAgency



(b) TravelAgency



(c) QuoteProcess



(c) QuoteProcess

Figure 8: Comparison of identification ratios for BPELswice, switchOnly, sliceOnly, and Tarantula

Figure 9: Comparison of runtime for BPELswice, switchOnly, and sliceOnly

940 executing BPELswice with the same N_{ps} . It can be ob- 972
 941 served that BPELswice only needed to switch predi- 973
 942 cates several times, with a maximum number of four 974
 943 times. In addition, there is a strong correlation between 975
 944 the value of N_{ps} and the runtime, which is intuitively 976
 945 as expected — the more predicates switched, the longer 977
 946 BPELswice executed. 978

947 6. Related work

948 How to effectively locate faults reported by testing is 982
 949 a crucial activity in debugging. A lot of effort on this 983
 950 topic has been made and a number of fault localisation 984
 951 techniques have been proposed [16][28][17]. These 985
 952 techniques explore the fault localisation problem in dif- 986
 953 ferent ways. The reported approaches include those 987
 954 based on program analysis, on program execution, and 988
 955 also using data mining or machine learning. Next, we 989
 956 discuss related work in terms of slicing-based fault lo- 990
 957 calisation techniques, predicate-based fault localisation 991
 958 techniques, and fault localisation techniques for WS- 992
 959 BPEL programs. 993

960 6.1. Slicing-based fault localisation techniques

961 One category of fault localisation techniques is based 996
 962 on program analysis techniques such as program slic- 997
 963 ing [29], symbolic execution [30], and formal methods. 998
 964 *Program slicing* is the most widely used one for debug- 1000
 965 ging aids. The principle of program slicing is to strip 1001
 966 a program of statements without influence on a given 1002
 967 variable at a given statement [29]. The idea of program 1003
 968 slicing-based fault localisation is: given a program p 1004
 969 and a variable v at a statement i where a fault appears, 1005
 970 the suspicious slice is the statements that directly affect 1006
 971 the value of v at i — this eliminates those that have no 1007

Table 4: N_{ps} of BPELswice

Program	N_{ps}	#Mutants	Runtime range (second)
SmartShelf	1	8	[9.98, 11.95]
	2	15	[19.05, 23.50]
	3	10	[31.57, 35.29]
	4	9	[41.21, 47.53]
TravelAgency	1	18	[6.89, 7.66]
	2	9	[14.60, 17.64]
	3	7	[21.94, 23.01]
	4	19	[28.84, 31.25]
QuoteProcess	1	9	[6.16, 8.27]
	2	19	[13.36, 15.13]
	3	15	[21.41, 22.39]

972 impact on the value of v at i . A pioneering study was 973
 974 reported by Weiser [31] and showed the evidence that 975
 976 programmers slice when debugging. 977

978 Generally, program slicing can be either static or dy- 979
 980 namic: the former is only based on the source code, 981
 982 while the latter works on a specific execution of the 983
 984 program (for a given execution trace). Fault localisa- 984
 985 tion based on static program slicing analyzes the data 985
 986 flow and control flow of the program statically to re- 986
 987 duce the search scope of faults [32], and its fault local- 987
 988 isation precision is low since no other information than 988
 989 source code is used. Fault localisation based on dy- 989
 990 namic program slicing introduces more precise slicing 990
 991 criteria for a particular execution and the search scope 991
 992 of faults can be further reduced [33]. Many efficient 992
 993 slicing algorithms have been proposed, and these algo- 993
 994 rithms may be used to further improve the efficiency 994
 995 of program slicing-based fault localisation techniques. 995
 996 For instance, *roBDD* is an efficient forward dynamic 996
 997 slicing algorithm using reduced ordered binary decision 997
 998 diagrams [34]. Recently, Wen [35] proposed program 998
 999 slicing spectrum to improve the effectiveness of statisti- 999
 1000 cal fault localisation methods, where the program slice 1000
 1001 is first used to extract dependencies between program 1001
 1002 elements and refine execution history, and then the sus- 1002
 1003 piciousness of each slice is calculated to locate the fault 1003
 1004 based on statistical indices. 1004

1005 In our study, a backward dynamic program slicing 1005
 1006 technique was used to further improve the efficiency of 1006
 1007 locating faults in WS-BPEL programs. Our approach 1007
 1008 first analyzes the execution trace from an WS-BPEL 1008
 1009 engine and then extract suspicious statements via data 1009
 1010 flow analysis. Only those statements that have a direct 1010
 1011 impact on the value of elementary variables in the crit- 1011
 1012 ical predicate are chosen. Our approach addressed the 1012
 1013 challenges due to the fact that the syntax, data structure, 1013
 1014 and execution mode of WS-BPEL programs are differ- 1014
 1015 ent from that of traditional programs. 1015

1010 6.2. Predicate-based fault localisation techniques

1011 The other category of fault localisation techniques is 1011
 1012 based on program execution. Typically, such techniques 1012
 1013 make use of a program execution spectrum obtained 1013
 1014 in software testing to locate the suspicious elements. 1014
 1015 These techniques count the executions of program ele- 1015
 1016 ments in different executions, and use the ratio of a pro- 1016
 1017 gram element being exercised in a failed execution and 1017
 1018 that in a passed execution to calculate the suspicious- 1018
 1019 ness of the program element. Naish *et al.* [16] surveyed 1019
 1020 33 different formulas for the suspiciousness calculation. 1020
 1021 The existing approaches work either at the level of state- 1021
 1022 ments or based on predicates. 1022

1023 Fault localisation techniques at the level of state- 1075
1024 ments, such as Tarantula [5] and Code Coverage [7], of- 1076
1025 ten rely on statistics and need both successful and failing 1077
1026 test cases to work. However, because they depend more 1078
1027 on the pass or fail status of the test cases, and do not
1028 consider the static structure of the program, these meth- 1079
1029 ods may face other challenges. Renieris and Reiss [6] 1080
1030 proposed a Set-Union technique based on neighboring
1031 queries which separated the failing program slices from 1081
1032 the successful slice sets, deleting slices that appeared in 1082
1033 both successful and failed execution paths, thereby gener- 1083
1034 ating a suspicious statement set. 1084

1035 Fault localisation techniques based on predicates first 1085
1036 instrument predicates in programs, and then capture 1086
1037 and/or sample execution behaviours to efficiently ident- 1087
1038 ify fault-relevant program elements. Among these 1088
1039 techniques, some are based on statistics, and others 1089
1040 are based on predicate switching. Typical predicate- 1090
1041 based statistical fault localisation techniques include: 1091
1042 Liblit *et al.* [36] ranked the predicates according to 1092
1043 the probability that the program under study will fail 1093
1044 when those predicates are observed to be true; Nainar 1094
1045 *et al.* [37] used compound Boolean predicates to lo- 1095
1046 cate faults; Zhang *et al.* [38] investigated the impact 1096
1047 of short-circuit evaluations on the effectiveness of ex- 1097
1048 isting predicate-based techniques; Chilimbi *et al.* [39] 1098
1049 used path profiles as fault predictors to locate faults; 1099
1050 Hao *et al.* [40] proposed a self-adaptive fault localisa- 1100
1051 tion algorithm which dynamically selects the intensity 1101
1052 of each predicate based on predicate execution informa- 1102
1053 tion analysis. 1103

1054 Predicate-switching based fault localisation was first 1104
1055 proposed by Zhang *et al.* [11]: it focuses on a failed run
1056 corresponding to single input for fault localisation. Un- 1105
1057 like existing statistical techniques, the idea of this tech- 1106
1058 nique is to forcibly switch a predicate's outcome at run- 1107
1059 time and alter the control flow until the program pro- 1108
1060 duces the desired output. By examining the switched
1061 predicate, the cause of the fault can then be identi- 1109
1062 fied. Although predicate-switching based fault local- 1110
1063 isation significantly reduces the search space of po- 1111
1064 tential state changes, the overhead for locating a pro- 1112
1065 gram with scaled predicates may still be high. Wang 1113
1066 and Liu [41] proposed a hierarchical multiple predicate 1114
1067 switching method which restricts the search for criti- 1115
1068 cal predicates to the scope of highly suspect functions 1116
1069 identified by employing spectrum-based fault localisa- 1117
1070 tion techniques. The predicate switching technique has 1118
1071 demonstrated good efficiency for locating faults in C 1119
1072 programs. 1120

1073 In our study, the predicate switching technique was 1120
1074 employed to narrow the search scope of blocks within 1121

WS-BPEL programs. In particular, we implemented the
predicate switching technique through mutating predi-
cates rather than instrumentation, which is very differ-
ent from previous studies [11, 41].

6.3. Fault localisation techniques for WS-BPEL programs

As mentioned before, WS-BPEL programs demon-
strate new features that are not common in traditional
programs, and accordingly suffer from new fault types.
In our previous work [4], we explored the fault local-
isation issue of WS-BPEL programs and proposed a
block-based fault localisation framework. We synthe-
sized three well-known spectrum-based fault localisa-
tion techniques within the framework (Tarantula [5],
Set-Union [6], and Code Coverage [7]), and evaluated
the effectiveness of the synthesized techniques using
two WS-BPEL programs. Although such techniques
were empirically evaluated to be effective in previous
studies [18], however, their effectiveness was not as
good as expected when they were used for the fault lo-
calisation of WS-BPEL programs.

In this study, we addressed the above problem with
a new fault localisation technique for WS-BPEL pro-
grams which combines predicate switching with pro-
gram slicing. We empirically evaluated and compared
the effectiveness and precision of the proposed tech-
nique with the Tarantula technique, which showed the
best performance in the synthesized techniques for WS-
BPEL programs in our previous work.

7. Conclusion

WS-BPEL program have many new features and also
suffer from new types of faults when compared with tra-
ditional programs that are written in C, C++, or Java. In
this paper, we have presented a novel fault localisation
technique, BPELswice, for WS-BPEL programs. The
proposed technique is composed of two main compo-
nents: the predicate switching method, which is used
to greatly reduce the state search space of faulty codes
through looking for so-called critical predicates, and the
dynamic backward slicing method, which is used to im-
prove the fault localisation precision through dataflow
analysis of execution traces of WS-BPEL programs.
Three case studies were conducted to evaluate the fault
localisation performance of the proposed technique in
terms of correctness and precision, and compare its per-
formance with that of predicate switching only, slicing
only, and Tarantula, which was considered to be the

1122 most effective one for WS-BPEL programs. The experi- 1171
1123 mental results show that the proposed BPELswice techn- 1172
1124 nique had a higher fault localisation effectiveness and
1125 precision than predicate switching only, slicing only,
1126 and Tarantula. In other words, this study proposes 1173
1127 a more effective fault localisation technique for WS-
1128 BPEL programs. 1174

1129 This study advances the state of the art for the fault 1175
1130 localisation of WS-BPEL programs in the following 1176
1131 ways: (i) we propose a new fault localisation framework 1177
1132 to further improve the fault localisation effectiveness of 1178
1133 WS-BPEL programs, considering new features of WS- 1179
1134 BPEL programs (i.e. a new style of programs); (ii) we 1180
1135 address the challenging issues related to when predicate 1181
1136 switching is used for WS-BPEL programs, where the 1182
1137 predicate switching mechanism is very different from 1183
1138 that which was developed for C programs [11]; (iii) we 1184
1139 report on the technical treatment of the backward dy- 1185
1140 namic slicing technique for WS-BPEL programs, which 1186
1141 is significantly different from that for traditional pro- 1187
1142 grams; (IV) we provide a comprehensive evaluation 1188
1143 and comparison of the proposed technique with exist- 1189
1144 ing techniques in this field. 1190
1191

1145 In our future work, we are interested in the follow- 1192
1146 ing directions: (i) extending the proposed framework to 1193
1147 cover other sections of WS-BPEL programs (the current 1194
1148 one only consider the faults in the interaction section 1195
1149 of WS-BPEL programs); (ii) developing techniques to 1196
1150 enable isolation of the faults in the level of WS-BPEL 1197
1151 programs or invoked services; and (iii) investigating the 1198
1152 differentiation of types of locating fault among the dif- 1199
1153 ferent fault localisation techniques. 1200
1201

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