# <sup>1</sup> Quasi-exact solution of the Riemann problem for

- <sup>2</sup> generalised dam-break over a mobile initially flat
- <sup>3</sup> bed

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Abstract This paper investigates dam-break problems with flows on one or 7 two sides of zero or nonzero velocities over a mobile initially flat bed, and quasi-exact solutions are presented by solving the Riemann problems using 9 the simple wave theory. The flow structures after dam collapse for nonzero ve-10 locities are much richer than those for zero velocities on both sides, although 11 they are also a combination of waves of different characteristic families, which 12 are consistent with [7]. The wave can be a rarefaction, a shock, or a combina-13 tion of a rarefaction and a semi-characteristic shock. The semi-characteristic 14 shock is related to the morphodynamic characteristics. The relationship be-15 tween morphodynamic and hydrodynamic characteristics is illustrated, along 16 with types of wave (shock, rarefaction or a combination of these), and sed-17 iment convergence and type of characteristic. It is shown that the types of 18 waves that may occur in the Riemann solution, and, in some cases, their pos-19 sible approximate location, can be determined prior to the construction of the 20 Riemann solution itself. The Riemann solution presented here can be used to 21 study shock-shock interactions. 22

Keywords Dam-break · Mobile bed · Simple wave · Shallow water equations ·
 Quasi-exact solution

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#### **1** Introduction 25

Dam failure can cause catastrophic flooding, and urban areas or farmlands 26 downstream can be dramatically affected. In addition, a dam-break flow can 27 cause huge erosion and deposition. Forecasting of the floods due to dam-breaks 28 29 is necessary for an emergency evacuation from the flooded area to prevent loss of life and huge damages. In addition to its practical significance, the dam-30 break problem provides the simplest available model for a number of important 31 phenomena, e.g., river flows and swash flows [11, 10, 23, 21]. Thus, dam-break 32 phenomena have been one main research interest for many years [14]. 33 Nonlinear shallow water equations (NSWEs), which have often been used 34 for describing one or two dimensional dam-break flows [15,13,16,1,4,18] (see 35 [9] for a discussion of the validity of these equations.). The exact and quasi-36 exact solutions for dam-break problems can provide us with information about 37 common shallow water flows, which can also be used as verification cases for 38 numerical solvers [17]. Dam-break problems can be classified into those with 39 water on both left and right sides (wet-wet problems) and those with water 40 only on the left side (wet-dry problems), over initially continuous or discon-

tinuous beds. The exact solutions for 1D dam-break problems over a flat fixed 42 continuous bed with various velocities on both sides are well known [13,15, 43 16]. The 1D wet-wet dam-break problem on a fixed flat bed with a discontin-44

uous bottom geometry, was further examined by [1], and exact solutions were 45 presented. 46

Here we extend this class of solutions so as to consider non-zero initial 47 velocities on a mobile bed. These problems are of practical as well as theo-48 retical interest, because they are closely related to shock-shock interactions 49 which commonly occur in river flows and shallow water flows on a beach [8]. 50 In shock-shock interactions, when two stable shocks coalesce, they form a 51 new discontinuity [19]. The new discontinuity is usually not stable, and would 52 collapse. This discontinuity corresponds to a dam-break problem of non-zero 53 initial velocities. [22] applied the simple wave theory [3] to a restricted class of 54 Riemann problems: wet-dry and also wet-wet dam-break problems over beds of 55 initially continuous or discontinuous bed levels. However, they only considered 56 zero initial velocities on both sides. In reality, commonly occurring flows on 57 beaches deviate from this when a following, larger wave encounters the tem-58 porarily halted earlier wave, either as a wet-wet or wet-dry problem. In these 59 circumstances, typically,  $\hat{u}_l > 0 \geq \hat{u}_r$ , where  $\hat{u}_l$  and  $\hat{u}_r$  are water velocities 60 on the left and right side of the dam; see Fig. 1. Additionally, and even more 61 generally, when one larger shock overtakes a smaller one, a Riemann problem 62 is also generated, because the new configuration is unstable: see Fig. 1. 63

Therefore, here we consider this more general case, in which we allow for 64  $\hat{u}_l \neq \hat{u}_r \neq 0$ . We also assume  $\hat{h}_l \gg \hat{h}_r \geq 0$ , where  $\hat{h}_l$  and  $\hat{h}_r$  are water depths 65 on the left and right side of the dam, consistent with these flows and dam-66 break flows in general. Finally, for simplicity, we restrict ourselves to cases in 67 which  $\hat{B}_l = \hat{B}_r = 0$ , where  $\hat{B}_l$  and  $\hat{B}_r$  are bed levels on the left and right side 68

of the dam. The bed therefore has no initial slope or discontinuity. 69

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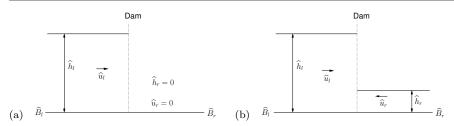


Fig. 1 Schematic diagram of the initial configuration for dam-break problems. (a): Wet-dry dam-break problem; (b) wet-wet dam-break problem.  $\hat{h}$  represents water depth (m),  $\hat{u}$  is a depth-averaged horizontal velocity (ms<sup>-1</sup>) and  $\hat{B}$  is bed level (m). The subscripts l and r indicate the left and right side of the dam.

<sup>70</sup> In the next section we present the model equations. We then present the <sup>71</sup> quasi-exact dam-break solutions in Sect. 3, and finally, we present our conclu-<sup>72</sup> sions in Sect. 4.

### 73 2 Model development

### 74 2.1 Governing equations

<sup>75</sup> The nonlinear shallow water equations and the Exner equation including only

<sup>76</sup> bed load are utilised to describe the dam-break flow

$$\hat{h}_{\hat{t}} + \hat{u}\hat{h}_{\hat{x}} + \hat{h}\hat{u}_{\hat{x}} = 0, \tag{1}$$

$$\hat{u}_{\hat{t}} + \hat{u}\hat{u}_{\hat{x}} + g\hat{h}_{\hat{x}} + g\hat{B}_{\hat{x}} = 0, \tag{2}$$

$$\hat{B}_{\hat{t}} + \xi \hat{q}_{\hat{x}} = 0,$$
 (3)

<sup>77</sup> where  $\hat{x}$  represents horizontal distance (m),  $\hat{t}$  is time (s),  $\hat{h}$  represents water <sup>78</sup> depth (m),  $\hat{u}$  is a depth-averaged horizontal velocity (ms<sup>-1</sup>),  $\hat{B}$  is bed level <sup>79</sup> (m),  $\hat{q}$  is sediment flux due to bed load (m<sup>2</sup>s<sup>-1</sup>) and g is acceleration due to <sup>80</sup> gravity (ms<sup>-2</sup>).  $\xi = \frac{1}{1-p}$  with p being the porosity. In Fig. 1 we illustrate the <sup>81</sup> situation being considered.

We use the Grass formula  $\hat{q} = \hat{A}\hat{u}^3$  [2] to describe the sediment transport rate as bed load [5,23], with A being the bed mobility parameter (s<sup>2</sup>m<sup>-1</sup>).

 $_{84}$  Therefore, (3) becomes

$$\hat{B}_{\hat{t}} + 3\xi \hat{A}\hat{u}^2 \hat{u}_{\hat{x}} = 0.$$
(4)

- 85 2.2 Non-dimensionalization
- <sup>86</sup> The nondimensional variables are

$$x = \frac{\hat{x}}{\hat{h}_0}, t = \frac{\hat{t}}{\hat{h}_0^{1/2} g^{-1/2}}, h = \frac{\hat{h}}{\hat{h}_0}, u = \frac{\hat{u}}{\hat{u}_0}, \text{ and } B = \frac{\hat{B}}{\hat{h}_0}, \tag{5}$$

where  $\hat{h}_0$  is a length scale, which is usually taken to be the higher of the two initial depths, and  $\hat{u}_0 = (g\hat{h}_0)^{1/2}$ .

and  $u_0 = (gn_0)^{\vee}$ .

Substituting (5) into the governing equations (1), (2) and (4) gives

$$h_t + uh_x + hu_x = 0, (6)$$

$$u_t + uu_x + h_x + B_x = 0, (7)$$

$$B_t + 3\sigma u^2 u_x = 0, (8)$$

where  $\sigma = \xi \hat{A}g$  is a non-dimensional parameter related to bed mobility. The vector form of these three non-dimensional governing equations is

$$\vec{U}_t + \mathbf{A}(\vec{U})\vec{U}_x = 0 \tag{9}$$

91 with

$$\vec{U} = \begin{bmatrix} h \\ u \\ B \end{bmatrix}, \ \mathbf{A}(\vec{U}) = \begin{bmatrix} u & h & 0 \\ 1 & u & 1 \\ 0 & 3\sigma u^2 & 0 \end{bmatrix}.$$
 (10)

The eigenvalues of  $\mathbf{A}$  are the roots of the polynomial equation

$$\lambda^3 - 2u\lambda^2 + (u^2 - 3\sigma u^2 - h)\lambda + 3\sigma u^3 = 0.$$
(11)

<sup>92</sup> The polynomial equation (11) has three roots, which are denoted  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$ 

<sup>93</sup> such that  $\lambda_1 \leq \lambda_3 \leq \lambda_2$ . For the solution of  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$  we refer to [4,5]. For <sup>94</sup> nonzero depth, when u > 0, we have  $\lambda_1 < 0 < \lambda_3 < u < \lambda_2$ ; while when u = 0,

we have  $\lambda_1 < 0 = \lambda_3 = u < \lambda_2$ ; when u < 0, we have  $\lambda_1 < u < \lambda_3 < 0 < \lambda_2$ .

Let  $\lambda' = \lambda/\sqrt{h}$ ; Eq. (11) can then be rearranged into a characteristic polynomial for  $\lambda'$ , which depends only on Froude number  $F = u/\sqrt{h}$  and  $\sigma$ :

$${\lambda'}^3 - 2F{\lambda'}^2 + ((1 - 3\sigma)F^2 - 1)\lambda' + 3\sigma F^3 = 0.$$
(12)

We plot  $\lambda'$  versus F for  $\sigma = 0.01$  in Fig. 2, which will be used in Sect. 3 to 98 99 help explain the structure of the Riemann solutions. In the morphodynamic system defined by Eq. (12) we define a characteristic,  $\lambda'$ , as being hydrody-100 namic if  $\lambda' \approx \lambda'_{+,-}$ , where  $\lambda'_{+,-}$  are the characteristics in the equivalent 101 hydrodynamic system (Eq. (12) with  $\sigma = 0$ ). Accordingly, if a characteristic 102  $\lambda' \approx \lambda'_{+,-}$ , then it is defined as a morphodynamic characteristic  $(\lambda'_m)$ , which 103 is assumed to be related to a bed wave. Note that  $\lambda'_m \approx 0$  because the bed 104 change at the hydrodynamic time scale is negligible [22]; see Fig. 2. Also note 105 that  $\lambda' \approx \lambda'_{+,-} \equiv \lambda' \approx F \pm 1 \Leftrightarrow \lambda \approx u \pm h^{1/2}$ . The relationship between  $\frac{d\lambda'}{dF}$ 106 and  $\frac{d\lambda}{dF}$  is derived in Sect. 2.5. 107

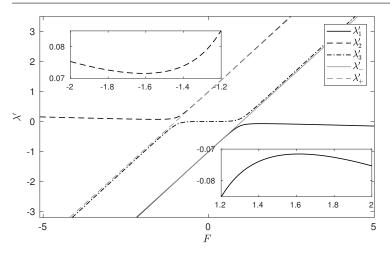


Fig. 2 Dimensionless characteristic velocities for our system with  $\sigma = 0.01$  (after [21], figure 2).  $\lambda'_{+,-} = \lambda_{+,-}/\sqrt{h}$  with  $\lambda_{+,-}$  being the equivalent hydrodynamic (fixed bed) characteristic velocities. The insets, which show a close-up of  $\lambda' - F$  space, illustrate the non-monotonic behaviour of the  $\lambda_1$  and  $\lambda_2$  characteristics.

## <sup>108</sup> 2.3 Initial conditions

The initial conditions for a general dam-break problem are shown in Fig. 1. As mentioned in Sect. 1 we consider general  $u_l$  and  $u_r$ . We also assume  $h_l \gg h_r$ , and thus consider only initial depths consistent with classical dambreak flows. Accordingly, we set  $h_l = 1$  and  $h_r = 0.1$  for all the examined wet-wet dam-break problems. The wet-dry dam-break problem is the limiting case of wet-wet dam-break problem, and for this we take  $h_r = 0$ . Finally, we set  $B_l = B_r = 0$ . The bed is erodible with  $\sigma = 0.01$ , consistent with [22].

### 116 2.4 Methodology

As the dam-break problem investigated in this paper is essentially a Riemann problem, it can be solved using simple wave theory [3,7]. Across a simple wave, i.e., a rarefaction fan, we have [3,22]:

$$du = \frac{\lambda_i - u}{h} \, dh,\tag{13}$$

$$dB = \left(\frac{(\lambda_i - u)^2}{h} - 1\right) dh.$$
(14)

We refer the readers to [22] for the application of simple wave theory in solving dam-break problems. We use the following shock conditions as necessary

$$h_R u_R - h_L u_L - (h_R - h_L)W = 0, \quad (15)$$

$$W(h_R u_R - h_L u_L) - \left(h_R u_R^2 + \frac{h_R^2}{2} - h_L u_L^2 - \frac{h_L^2}{2}\right) - \int_{B_L}^{B_R} h \, dB = 0, \quad (16)$$
$$(B_R - B_L)W - \sigma(u_R^3 - u_L^3) = 0, \quad (17)$$

where L and R represent variables on the left and right side of a shock, and W is shock velocity.

We take the approximation proposed by [8] for the term  $\int_{B_L}^{B_R} h \, dB$  in (16):

$$\int_{B_L}^{B_R} h dB \approx \frac{1}{2} (B_R - B_L) (h_R + h_L).$$
(18)

<sup>122</sup> Note that for morphodynamic shocks we could use that of [22]. That approx-

<sup>123</sup> imation is necessary for the large initial bed changes considered therein, but

<sup>124</sup> not for this case.

### 125 2.4.1 Wave structure determination

For a general Riemann problem of n equations, there are n waves associated with the n characteristic families [7]. Therefore, for the wet-wet dam-break problems there are 3 waves separated by 2 newly formed constant regions. We refer to these regions as left and right "star" regions, and variables in them as  $\mathbf{U}_{l*}$  and  $\mathbf{U}_{r*}$ , to distinguish them from the constant initial regions ( $\mathbf{U}_l$  and  $\mathbf{U}_r$ ).

However, it should be noted that for wet-dry dam-break problems over a mobile bed, there are only 2 waves separated by 1 newly formed constant ("star") region, the variables in which are denoted  $U_*$ . One wave vanishes because of the presence of the dry bed [22].

The task is to find  $\mathbf{U}_*$ , or  $\mathbf{U}_{l*}$  and  $\mathbf{U}_{r*}$ , and identify the wave types. The 136 waves could be rarefactions, or shocks or semi-characteristic shocks [22]. The 137 characteristic configuration of each wave type is shown in Fig. 3. For the wet-138 wet problem, firstly we give initial estimates for  $h_{l*}$  and  $h_{r*}$ , and then we 139 assume the wave structures according to the estimates. Secondly, we verify 140 our assumption by obtaining the Riemann solution. For example, we can first 141 assume a wave is a rarefaction fan, and if the Riemann solution shows the 142 divergence of characteristics across this wave, then this assumption is true. If 143 characteristics converge, then it must be a shock instead of a rarefaction. If 144 the characteristics diverge across some part of the wave and converge in some 145 other part, then a multi-valued problem occurs, and a rarefaction fan together 146 with a semi-characteristic shock is introduced. Finally, we refine  $h_{l*}$  and  $h_{r*}$ 147 by checking the Riemann solution. 148

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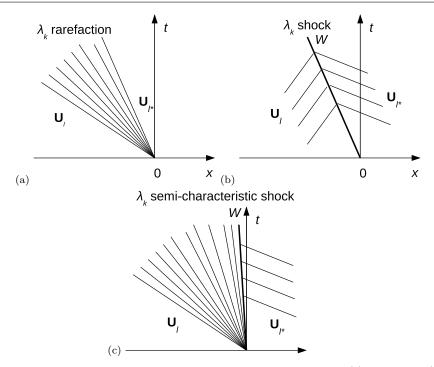


Fig. 3 Schematic diagrams depicting characteristic configurations for (a) rarefaction, (b) shock and (c) semi-characteristic shock.

### 149 2.4.2 Computation procedure

<sup>150</sup> The computation procedures for a wet-wet dam-break problem are as follows:

(i) Estimate initial values for  $h_{l*}$  and  $h_{r*}$ .

(ii) Assume wave types for the  $\lambda_{1,2,3}$  waves according to  $h_{l*}$  and  $h_{r*}$ . They

could be rarefactions, shocks or combinations of a rarefaction and a semi-characteristic shock of the same family.

(iii) Find wave solutions. Using the assumed  $h_{l*}$  and  $h_{r*}$ , and assumed wave structures, we construct the Riemann solution for some finite time, t > 0, using (13) and (14) for rarefactions and (15)-(17) for shocks to obtain a structure for the  $\lambda_{1,2,3}$  waves.

159 (iv) Refine  $h_{l*}$  and  $h_{r*}$ 

- Compare the u values calculated or already known in one designated 160 constant region. This region could be the right (left) constant region if 161 the Riemann problem is solved from left (right) to right (left), or the 162 left or right star regions if solved from both the left and right. If the 163 two u values do not agree to the desired level of accuracy,  $h_{r*}$   $(h_{l*})$  is 164 changed, i.e.  $h_{r*}^{(1)}$   $(h_{l*}^{(1)})$ . Then the wave solutions are recalculated and 165 u values again found (Step ii-iii). This process is repeated until the 166 desired accuracy is achieved via the bisection method; once values 167

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168			agree, the correct water depth $h_{r*}$ $(h_{l*})$ for the fixed $h_{l*}$ $(h_{r*})$ is
169			considered to have been achieved.
170		_	We then check whether the $B$ values calculated or already known in
171			the designated constant region agree to the required accuracy. If this
172			is achieved, the updated values for $h_{l*}$ and $h_{r*}$ are assumed to be
173			correct, and we have arrived at a solution to the Riemann problem.
174			If not, we change the value of $h_{l*}$ $(h_{r*})$ and repeat the above steps to
175			the required accuracy.

For wet-dry dam-break problems, the procedures are similar except that there 176 is only one newly formed constant region, and the shock condition for sediment 177 conservation at the tip is used to refine  $h_*$ . 178

#### 2.4.3 Wave type determination 179

It is shown in Fig. 2 that the characteristics  $\lambda'_{+,-}$  in the hydrodynamic prob-180 lem increase monotonically as F increases. However, this is not so for  $\lambda'_{1,2}$ . We 181 can see from Fig. 2 that  $\lambda'_{1,2,3}$  change identity between morphodynamic and 182 hydrodynamic characteristics.  $\lambda'_1 \approx \lambda'_-$  when F < 1, and  $\lambda'_3 \approx \lambda'_-$  when 183 F > 1.  $\lambda'_3 \approx \lambda'_+$  when F < -1, and  $\lambda'_2 \approx \lambda'_+$  when F > -1. 184

Here, we follow [22] in identifying a morphodynamic (hydrodynamic) wave 185 as being associated with a morphodynamic (hydrodynamic) characteristic. A 186 hydrodynamic shock is thus defined as that caused by the convergence of hy-187 drodynamic characteristics; or the convergence of hydrodynamic and morpho-188 dynamic characteristics, but dominated by hydrodynamic characteristics, in 189 the sense that the shock possesses the properties of a hydrodynamic shock (see 190 below). A morphodynamic shock is then defined as that caused by the conver-191 gence of morphodynamic characteristics; or a convergence of morphodynamic 192 and hydrodynamic characteristics, but not dominated by hydrodynamic char-193 acteristics (i.e., it does not possess the properties of a hydrodynamic shock). 194 We define hydro- and morphodynamic rarefactions in a similar way. 195

The properties of a  $\lambda_+$  ( $\lambda_-$ ) wave are that  $\lambda_+ > u$  ( $\lambda_- < u$ ) so that 196 water flows right to left (left to right) across a  $\lambda_+$  ( $\lambda_-$ ) wave, relative to the 197 wave. Furthermore, if, as the water flows across the  $\lambda_{+}$  ( $\lambda_{-}$ ) wave, it flows 198 from a region of smaller to larger depth then the water velocity increases 199 (decreases), and the  $\lambda_+$  ( $\lambda_-$ ) wave is a shock. Conversely, if water velocity 200 decreases (increases) and depth decreases the  $\lambda_{+}$  ( $\lambda_{-}$ ) wave is a rarefaction. 201 We assume that the hydrodynamic waves in the morphodynamic system 202

behave similarly to those in the hydrodynamic system (i.e.,  $\sigma = 0$ ). Therefore, 203 the properties above are assumed to be valid in the morphodynamic system. 204 For the morphodynamic characteristics,  $\lambda_m$ , we have  $0 > \lambda_m > u$ , when u < 0, 205 and  $0 < \lambda_m < u$ , when u > 0. However, the above analysis is not used for 206 a morphodynamic wave, because the flow across the morphodynamic wave is 207 more complex.  $\lambda'_m$  does not vary monotonically (see Fig. 2) and this gives rise 208

to semi-characteristic shocks, as characteristics first diverge and then converge. We can use the  $\lambda' - F$  plot to help deduce the wave type. 210

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211 2.5 Determination of semi-characteristic shock position in  $\lambda' - F$  space

As  $\lambda' = \lambda/\sqrt{h}$ ,

$$\frac{d\lambda'}{dF} = \frac{d\lambda}{dF}h^{-1/2} - \frac{1}{2}h^{-3/2}\frac{dh}{dF}\lambda.$$
(19)

213 Since F = F(h, u),

$$\frac{dF}{dh} = \frac{\partial F}{\partial h} + \frac{\partial F}{\partial u} \frac{du}{dh} = \frac{\lambda_i - \frac{3}{2}u}{h^{3/2}},$$
(20)

<sup>214</sup> across the *i*th rarefaction fan. Therefore,

$$\frac{d\lambda'}{dF} = \frac{d\lambda}{dF} h^{-1/2} - \frac{1}{2} h^{-3/2} \frac{h^{3/2}}{\lambda_i - \frac{3}{2}u} \lambda$$
$$\Rightarrow h^{-1/2} \frac{d\lambda}{dF} = \frac{d\lambda'}{dF} + \frac{1}{2} \frac{\lambda'}{\lambda'_i - \frac{3}{2}F}.$$
(21)

Note that here  $\lambda$  and  $\lambda'$  denote any characteristic, but  $\lambda'_i$  refers specifically to the *i*th rarefaction fan.

Now, from simple wave theory [3] we know that across the *i*th rarefaction fan  $\frac{d\lambda_i}{dh} = \frac{d\lambda_i}{dF} \frac{dF}{dh}$ . Therefore,

$$h^{1/2}\frac{d\lambda_i}{dh} = \left(\frac{d\lambda'_i}{dF} + \frac{1}{2}\frac{\lambda'_i}{\lambda'_i - \frac{3}{2}F}\right)\left(\lambda'_i - \frac{3}{2}F\right).$$
(22)

We know that if  $\frac{d\lambda_i}{dh} = 0$  then a semi-characteristic shock can potentially form. Therefore, because in general h > 0 we can, using (22), place these locations in  $(\lambda', F)$  space, which correspond to locations where

$$\frac{d\lambda'_i}{dF} = -\frac{1}{2} \frac{\lambda'_i}{\lambda'_i - \frac{3}{2}F} \text{ or } \lambda'_i = \frac{3}{2}F.$$
(23)

Note that if  $\lambda'_i = \frac{3}{2}F$ , then we must also have  $\lambda_i = 0$ . This, in theory, could occur for  $\lambda_i = \lambda_3$ . From [22] we also know that, without loss of generality,

$$\frac{d\lambda_i}{dh} = \nabla_{\overrightarrow{U}} \lambda_i \cdot \overrightarrow{R}, \qquad (24)$$

where  $\overrightarrow{R}$  are right eigenvectors of **A** in Eq. (10). Furthermore, developing from  $[22]^1$  we have

$$h^{1/2}\frac{d\lambda_i}{dh} = \frac{\lambda'_i + \left(2\lambda'_i^2 - (2 - 6\sigma)F\lambda'_i - 9\sigma F^2\right)(\lambda'_i - F)}{3\lambda'_i^2 - 4F\lambda'_i + (1 - 3\sigma)F^2 - 1}.$$
 (25)

<sup>&</sup>lt;sup>1</sup> We note that there is a misprint in equation (2.25) of [22]. The factor  $(u - \lambda_i)$  should be  $(\lambda_i - u)$ .

Hence, equating the right of (25) to zero should also give the (same) positions at which a semi-characteristics shock could occur in a Riemann problem.

Fig. 2 shows that  $\frac{d\lambda'_1}{dF} = 0$  occurs at  $F \approx 1.613$ ,  $\frac{d\lambda'_2}{dF} = 0$  occurs at  $F \approx -1.613$  and  $\frac{d\lambda'_3}{dF} = 0$  occurs at F = 0. We find from Eq. (21) that when  $\frac{d\lambda'_{1,2}}{dF} = 0$ ,  $\frac{d\lambda_{1,2}}{dF} \neq 0$  because  $\lambda'_{1,2} \neq 0$ . However,  $\frac{d\lambda'_3}{dF}(F=0) = 0 \Rightarrow \frac{d\lambda'_3}{dF} = 0$ the because  $\frac{\lambda'_3}{F} \to 0$  when  $F \to 0$ .

In Fig. 4 we see  $h^{1/2} \frac{d\lambda_i}{dh}$  calculated from both (22) and (25) with  $\sigma = 0.01$ . It can be seen that there are three possible vicinities in which a semicharacteristic shock could occur, and the positions are consistent with those

<sup>228</sup> from Fig. 2.

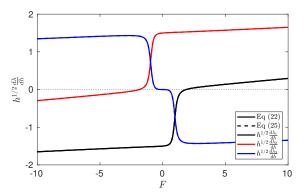


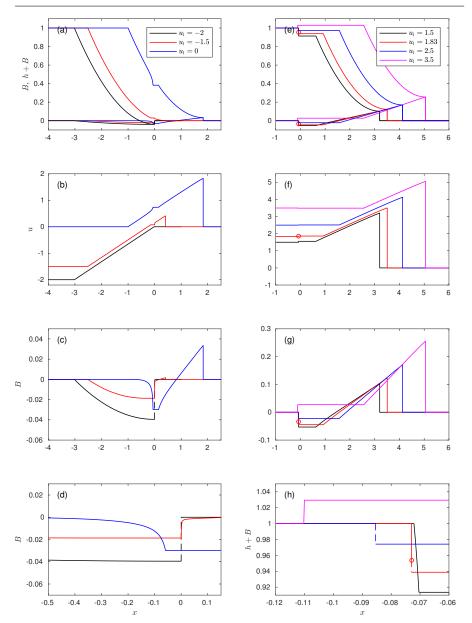
Fig. 4  $h^{1/2} \frac{d\lambda}{dh}$  calculated from both (22) and (25) with  $\sigma = 0.01$ .

### <sup>229</sup> 3 Riemann solutions for dam-break problems

- 230 3.1 Wet-dry dam-break problem
- The structures of wet-dry dam-break problems over an initially flat erodible bed for general  $u_l$  are shown in Fig. 5. There are five types of structure:
- (i)  $\lambda_1$  rarefaction fan, star region of 0 velocity next to x = 0, and bed step at x = 0 (e.g.  $u_l = -2$ );
- (ii)  $\lambda_1$  rarefaction fan, star region and  $\lambda_3$  rarefaction (e.g.  $u_l = -1.5, 0, 1.5$ );
- (iii)  $\lambda_1$  rarefaction fan,  $\lambda_1$  semi-characteristic shock, star region, and  $\lambda_3$  rarefaction fan (e.g.  $u_l = 1.83$ );
- (iv)  $\lambda_1$  shock, star region, and  $\lambda_3$  rarefaction fan (e.g.  $u_l = 2.5$ );
- (v)  $\lambda_1$  rarefaction (*h* increases as *x* increases, in which u > 0), star region, and  $\lambda_3$  rarefaction (e.g.  $u_l = 3.5$ ).

<sup>241</sup> When  $u_l = 0$ , the wave structure is a  $\lambda_1$  rarefaction and a  $\lambda_3$  rarefaction <sup>242</sup> (structure (ii)), which is consistent with that presented by [4]. The  $\lambda_1$  wave

<sup>243</sup> is a combination of a  $\lambda_{\perp}$  hydrodynamic wave and a morphodynamic wave;  $\lambda_3$ 



**Fig. 5** Structure of the wave solution at t = 1 for a wet-dry Riemann problem with general  $u_l$ . Dashed lines indicate jumps at shocks or semi-characteristic shocks.  $\circ$  separates the rarefaction and semi-characteristic shock of the same wave. (a) and (e) show water surface levels h+B and bed levels B, (b) and (f) show water velocities u, (c) and (g) show bed levels B, and (d) and (h) show magnified bed levels B. (a)-(d) correspond to the same dam-break problems, and (e)-(h) correspond to the same dam-break problems.

 $_{244}~$  is a  $\lambda_{-}$  hydrodynamic wave. The sediment in the  $\lambda_{1}$  wave and star region is

eroded by the right moving water, and is deposited in the  $\lambda_3$  wave region. The major difference between the structures of (i) and (ii) is whether there is a  $\lambda_3$ wave. The relative position of the free surface level in the star region  $(h_* + B_*)$ and the bed level on the right side of the dam  $(B_r)$  determines whether there is a  $\lambda_3$  wave.

From Fig. 5(a), we can see that when  $u_l = 0$ ,  $h_* + B_* > B_r$ . When  $u_l$ 250 decreases, the water depth  $(h_*)$ , velocity  $(u_*)$  and bed level  $(B_*)$  in the star 251 region decrease. For some  $u_l$ ,  $h_* + B_* = B_r$ . At this point, we must have 252  $u_* = 0$  and a bed step (discontinuity) forms at x = 0 (structure (i)) because 253 sediment is moved by the initially left moving water. This is because if  $u_* > 0$ , 254 water in the star region would flow towards the bed step on its right and be 255 reflected back resulting in a larger  $h_*$  such that  $h_* + B_* > B_r$ . Conversely, if 256  $u_* < 0$  water moves away from x = 0 position resulting in a smaller  $h_*$  and 257  $h_* + B_* < B_r.$ 258

When  $u_l$  further decreases, we have  $h_* + B_* < B_r$ . The key point is whether 259 a further decrease in  $u_l$  would result in  $u_*$  remaining 0 or also decreasing. 260 However, when  $u_* < 0$  and  $h_* + B_* < B_r$ , the structure is not stable, and  $h_*$ 261 would decrease such that  $u_* \to 0$ . Therefore, there is always a star region with 262  $u_* = 0$  adjacent to x = 0, implying that water does not leave the discontinuity 263 at x = 0. It might appear counterintuitive that we should have  $u_* = 0$  for 264  $u_l \ll 0$ . However, it can be explained by the simple wave theory. For the  $\lambda_1$ 265 rarefaction wave, we have  $du = \frac{\lambda_1 - u}{h} dh$ , so as  $h_* \to 0$ ,  $\int du$  is unbounded. 266 Therefore, as  $u_l \to -\infty$ , we can have  $u_* = 0$ . Alternatively, we can note that 267  $\lambda_1(u_l) < u_l < u_* = 0$  for all  $u_l$ . This implies that all fluid in the left constant 268 region will eventually enter the  $\lambda_1$  rarefaction fan, accelerate, and come to 269 rest. 270

When  $u_l$  gradually increases from 0,  $h_*$  and  $u_*$  increase (structure (ii)). 271 We can see from Fig. 5 that the  $\lambda_1$  rarefaction is more confined when  $u_l$ 272 increases, which is because the hydrodynamic part gradually disappears. As 273  $u_l$  increases further, the  $\lambda_1$  characteristics in the  $\lambda_1$  fan first diverge and then 274 converge, and therefore a semi-characteristic shock is introduced for  $u_l = 1.83$ 275 (structure (iii)). The semi-characteristic shock is a morphodynamic wave, and 276 together with the  $\lambda_1$  fan, it connects the hydrodynamic and morphodynamic 277 characteristics. 278

When  $u_l$  further increases, the water depth on the left side of the semicharacteristic shock gradually increases to  $h_l$  and the  $\lambda_1$  rarefaction fan disappears. The  $\lambda_1$  wave is a semi-characteristic shock only for a particular  $u_l$ . In other words, one side of this shock coincides with a  $\lambda_1$  characteristic, but this characteristic is that of the left constant state. When  $u_l$  further increases, the  $\lambda_1$  semi-characteristic shock becomes a  $\lambda_1$  shock, i.e., structure (iv).

If  $u_l$  increases even further,  $h_*$  increases. When  $h_* = h_l$ , the  $\lambda_1$  shock disappears and if  $u_l$  further increases,  $h_* > h_l$ , and the  $\lambda_1$  wave becomes a rarefaction, across which the water depth increases from left to right (structure (v)). It should be noted that the  $\lambda_1$  rarefaction fan in structure (v) is somewhat different from that in structure (ii). In structure (ii), the  $\lambda_1$  wave is <sup>290</sup> a combination of a  $\lambda_{-}$  hydrodynamic wave and a morphodynamic wave, and <sup>291</sup> in structure (v) it is a morphodynamic wave.

When the  $\lambda_1$  wave is a morphodynamic wave or consists of a morphodynamic wave, it has a richer pattern. It can be a rarefaction, or a semicharacteristic shock, or a shock, or combinations of these wave types.

The  $\lambda_3$  wave is always a rarefaction because the  $\lambda_3$  wave near the tip is always a hydrodynamic wave  $(\lambda_{-})$  and water depth decreases across the  $\lambda_3$ wave. This is consistent with finding of [4] that a dry bed cannot be adjacent to a shock. However, it should be noted, also consistent with [4], that there is a sediment bore at the tip, with water depth of zero on both sides, and only *u* and *B* vary across it.

See Sect. A for the interpretation of this solution in  $(\lambda', F)$  space.

302 3.2 Wet-wet dam-break problem

First we include a small depth of water  $(h_r = 0.1)$  on the previously dry region,

 $_{304}$  to examine the difference this makes to the Riemann solution. Then we take

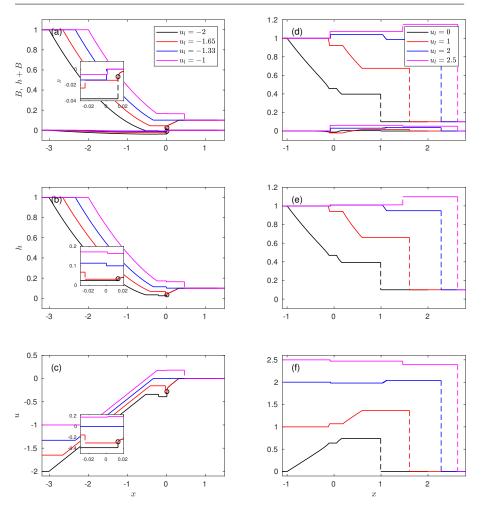
 $_{105}$   $u_l = 0$  and vary  $u_r$  to illustrate how varying velocity on the low side affects the wave structure.

307  $3.2.1 u_r = 0$ 

The wave structures of a wet-wet dam-break problem over a flat erodible bed with  $u_r = 0$  but various  $u_l$  are shown in Fig. 6. As might be expected, analogies with the wet-dry case are apparent. The six types of structure are:

- (i)  $\lambda_1$  rarefaction, left star region,  $\lambda_3$  shock, right star region,  $\lambda_2$  semicharacteristic shock and  $\lambda_2$  rarefaction (e.g.,  $u_l = -2$ );
- (ii)  $\lambda_1$  rarefaction, left star region,  $\lambda_3$  shock, right star region, and  $\lambda_2$  rarefaction (e.g.,  $u_l = -1.65$ );
- (iii)  $\lambda_1$  rarefaction, left star region,  $\lambda_3$  shock, right star region, and  $\lambda_2$  shock (e.g.,  $u_l = -1.33$ );
- (iv)  $\lambda_1$  rarefaction, left star region,  $\lambda_3$  rarefaction, right star region, and  $\lambda_2$ shock (e.g.,  $u_l = -1$  and 0);
- (v)  $\lambda_1$  rarefaction (*h* increases as *x* increases, in which u > 0), left star region,  $\lambda_3$  rarefaction, right star region, and  $\lambda_2$  shock (e.g.,  $u_l = 2$ ).
- (vi)  $\lambda_1$  rarefaction (*h* increases as *x* increases, in which u > 0), left star region,  $\lambda_3$  shock, right star region, and  $\lambda_2$  shock (e.g.,  $u_l = 2.5$ ).

The solutions in Fig. 6 mostly have clear analogues in Fig. 5. Structure (i) can be seen to be equivalent to (i) of the wet-dry case, in which ponded water occurs. That structure exists for  $u_l \leq -1.695$  in the wet-dry case, whereas the present structure does so for  $u_l \leq -1.692$ . The still water on the right now drains into the eroded (right star) region via a  $\lambda_2$  rarefaction and semicharacteristic shock. This  $\lambda_2$  wave is a combination of a hydrodynamic and morphodynamic characteristic, and it is on the morphodynamic portion that



**Fig. 6** Structure of the wave solution at t = 1 for a wet-wet Riemann problem with general  $u_l$  and  $u_r = 0$ . Dashed lines indicate jumps at shocks or semi-characteristic shocks.  $\circ$  separates the rarefaction and semi-characteristic shock of the same wave. (a) and (d) show water surface levels h + B and bed levels B, (b) and (e) show water depths h, and (c) and (f) show water velocities u. (a)-(c) correspond to the same dam-break problems, and (d)-(f) correspond to the same dam-break problems.

the convergence of characteristics occurs (see Sect. B). The rapid bed change occurs on the morphodynamic portion, as flow moves from being sub- to supercritical.

For an increased but still negative  $u_l$ , structure (ii) emerges. Here, the  $\lambda_2$ wave terminates before a characteristic convergence can occur, and hence it is a rarefaction only. Erosion is reduced, and now occurs across both  $\lambda_2$  wave and  $\lambda_3$  shock, the latter being partly morphodynamic.

When  $u_l \approx -1.334$ ,  $u_{l*} = u_{r*} = 0$ ,  $h_{l*} > h_{r*} = h_r$  and  $h_{l*} + B_{l*} = h_{r*} + B_{33}$ B<sub>r\*</sub>. The  $\lambda_2$  wave becomes confined to one point because  $h_{r*} = h_r$ , and the  $\lambda_3$ 

wave is a stationary shock because  $u_{l*} = u_{r*} = 0$  and  $h_{l*} + B_{l*} = h_{r*} + B_{r*}$ . So, 339  $u_l \approx -1.334$  is the value above which flow to the right is possible. Structure (iii) 340 occurs as the hydrostatic pressure drives flow from left to right. So, initially left 341 moving water in the left constant region, enters the  $\lambda_1$  rarefaction, accelerates 342 across that wave such that it acquires a positive velocity, and then enters the 343  $\lambda_3$  wave before accelerating across that into the right star region, where it 344 remains as the  $\lambda_2$  shock proceeds to the right. The  $\lambda_3$  wave is a shock with 345 W > 0 when  $-1.334 \leq u_l \leq -1.33$  (structure (iii)). When  $u_l \geq -1.33$ , the  $\lambda_3$ 346 shock becomes a rarefaction, i.e., structure (iv). Structure (iv) is familiar to 347 us because it is the structure for dam-break problem of  $u_l = u_r = 0$ . 348

When  $u_l$  increases from 0 to a positive value, water on the left side moves 349 immediately towards the right, causing water to accumulate, and  $h_{l*}$  and  $h_{r*}$ 350 both to increase with  $h_{r*} \rightarrow h_{l*} \rightarrow 1$ . At the same time,  $u_{l*}$  and  $u_{r*}$  also 351 increase. The hydrodynamic portion in the  $\lambda_1$  wave gradually decreases, and 352 the morphodynamic portion increases. Finally, the  $\lambda_1$  wave becomes a mor-353 phodynamic wave. When  $h_{l*} > 1$ ,  $\lambda_1$  wave is still a rarefaction, but h increases 354 as x increases. This is similar to the equivalent wet-dry problem solution in 355 Fig. 5. The wave structure becomes structure (v). When  $u_l$  further increases, 356  $1 < h_{l*} < h_{r*}$ , and the  $\lambda_3$  wave becomes a shock, in which W > 0 (structure 357 (vi)). This  $\lambda_3$  shock is a hydrodynamic  $(\lambda_-)$  shock with an increase in water 358 depth from left to right. Thus, the water decelerates across a morphodynamic 359  $\lambda_1$  wave, and again does so across a right-moving  $\lambda_3$  hydrodynamic shock, 360 before entering (and remaining in) the right star region, where it is joined 361 by water from the right constant state as the  $\lambda_2$  hydrodynamic shock moves 362 rapidly to the right. 363

364  $3.2.2 u_l = 0$ 

The wave structures of dam-break with  $u_l = 0$  and varying  $u_r$  are shown in Fig. 7. There are four types of structure:

(i)  $\lambda_1$  shock, left star region,  $\lambda_3$  shock, right star region,  $\lambda_2$  rarefaction (e.g.,  $u_r = -2.5, -1.934$ );

(ii)  $\lambda_1$  shock, left star region,  $\lambda_3$  shock, right star region, and  $\lambda_2$  shock (e.g.  $u_r = -1.933$ );

- (iii)  $\lambda_1$  rarefaction, left star region,  $\lambda_3$  rarefaction, right star region, and  $\lambda_2$ shock (e.g.,  $u_r = -1, 0$ );
- (iv)  $\lambda_1$  rarefaction, left star region,  $\lambda_3$  rarefaction, right star region, and  $\lambda_2$ rarefaction (e.g.,  $u_r = 1.5$ ).

For large negative  $u_r$  (structure (i)) (Fig. 7(a)-(c)) this large speed means that the change in momentum of this flow overcomes the hydrostatic pressure gradient and flow ensues from right to left ( $u \leq 0$  across the Riemann solution). There is therefore a decrease in |F| from right to left. Across the  $\lambda_2$  wave, again, from right to left, there is a small increase in h and a modest decrease in |u|, which results in sediment convergence across the fan and the creation of a substantial bed-step. Note that flow is still supercritical on the step. Transition

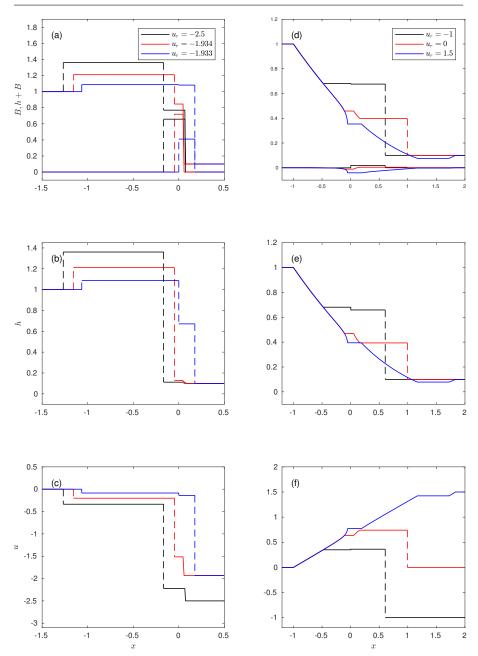


Fig. 7 Structure of the wave solution at t = 1 for a wet-wet Riemann problem with varying  $u_r$  and  $u_l = 0$ . Dashed lines indicate jumps at shocks or semi-characteristic shocks. (a) and (d) show water surface levels h + B and bed levels B, (b) and (e) show water depths h, and (c) and (f) show water velocities u. (a)-(c) correspond to the same dam-break problems, and (d)-(f) correspond to the same dam-break problems.

to sub-critical flow occurs across the  $\lambda_3$  (shock) wave, and so further sediment convergence occurs. Thus, the bed-step advances both up- and downstream as it accumulates sediment. On the left side a shock wave (with negligible bed change) advances into the still water. Note that, as in the wet-dry case, in terms of wave structure, the mapping of this Riemann solution into  $(\lambda', F)$ space (see Sect. C) once more yields the same types of waves as those obtained from the solution itself (see Fig. 10).

As  $u_r$  increases this structure persists until  $u_r \approx -1.934$  (Fig. 7(a)-(c)). For a further increase,  $u_r \approx -1.933$ , the structure is transformed to structure (ii) (Fig. 7(a)-(c)). This happens because the  $\lambda_2$  wave now becomes a shock, with the smaller  $|u_r|$  allowing an abrupt flow change across this wave. Now, the change from super- to sub-critical flow occurs across the  $\lambda_2$  wave.

Note the very large change in  $u_{r*}$  as  $u_r$  varies between these two val-394 ues, which differ by about 0.05%. The corresponding  $\lambda_2$  wave changes from a 395 morphodynamic wave into a hydrodynamic wave, and  $\lambda_3$  shock changes from 396 a hydrodynamic shock into a morphodynamic shock. This accounts for the 397 abrupt change. Further note that the bed-step created by this sediment con-398 vergence now advances more rapidly upstream than downstream. For velocity 399 W of the  $\lambda_3$  shock:  $0 < |W| \ll 1$ . This abrupt change is further investigated 400 numerically in Sect. D. 401

As  $u_r$  increases further a  $\lambda_1$  rarefaction emerges, which yields structure (iii). 402 This apparently minor change (Fig. 7) actually accompanies a flow reversal 403 with  $u_{*r} > u_{*l} > 0$ , as the fluid in the left constant region enters the right star 404 region across the  $\lambda_{1,3}$  waves because  $\lambda_{1,3} < u$ . There is therefore a decrease in 405 h across the Riemann solution, and an increase in u across the  $\lambda_{1,3}$  waves as 406 the water is driven across the  $\lambda_{1,3}$  waves by the pressure gradient. The  $\lambda_3$  wave 407 also becomes a rarefaction. The bed on the left side is eroded, and deposited on 408 the right. The right moving water then encounters the relatively slower right 409 moving water in the right constant region. This results in the convergence of 410  $\lambda_2$  characteristics, and therefore the  $\lambda_2$  wave is a shock. Across the  $\lambda_2$  shock, 411 water jumps from the right to the left side, thus gaining velocity. Therefore, 412 h and u both decrease from left to right side. Sediment convergence mostly 413 takes place at the leading (right) edge as it propagates to the right. Note also 414 that convergence is also much reduced because of the much smaller change in 415 u across the  $\lambda_2$  wave. 416

<sup>417</sup> When  $u_r$  increases from 0 to a positive value,  $h_{l*}$  and  $h_{r*}$  decrease because <sup>418</sup> water on the right side is moving away from x = 0; when  $h_{r*} < h_r$ , the  $\lambda_2$ <sup>419</sup> wave changes from a shock into a rarefaction (structure (iv)) and water on the <sup>420</sup> right constant region is overtaken by the right edge of the  $\lambda_2$  rarefaction fan <sup>421</sup> and therefore enters the right star region.

### 422 4 Conclusion

<sup>423</sup> Dam-break problems with flows on one or two sides with zero or nonzero <sup>424</sup> velocities on an initially flat mobile bed have been investigated, and quasianalytical solutions are presented with examples. The solutions are consistent with previous studies [7, 17, 4, 22].

The solutions are consistent with the theory proposed by [7] that for a Riemann problem of n equations there are in general n waves associated with the n characteristic families. The solutions presented are, therefore, of more varied structure than the equivalent hydrodynamic ones. In particular, as noted by [22], solutions sometimes contain a semi-characteristic shock, rather than just shocks and rarefactions.

The characteristics can be classified as hydrodynamic characteristics and morphodynamic characteristics. The transition between diverging hydrodynamic and morphodynamic characteristics is usually through a fan, which consists of a hydrodynamic part and a morphodynamic part, and a semicharacteristic shock often occurs when there is a large change in the characteristics (Fig. 3(c)). The semi-characteristic shock is a morphodynamic wave.

<sup>439</sup> The possible position of these semi-characteristic shocks can be determined <sup>440</sup> without solving the Riemann problem. This immediately indicates the kinds of <sup>441</sup> waves that can occur in the Riemann solution, and is very useful for solving the <sup>442</sup> problem. If this property extends to a broader range of functional relationships <sup>443</sup> of the form  $\hat{q} = \hat{q}(\hat{h}, \hat{u})$  [12,20], then it will have even greater utility.

It is also noted that, there may be an abrupt change of wave structure, associated with which there is a transition between morphodynamic wave and hydrodynamic wave.

By far the largest observed changes in bed level in these dam-break prob-447 lems is for the case of a highly supercritical flow (small depth) flowing into a 448 body of water of much larger depth. If this inflow is large enough it can cause 449 overall flow in the direction of the inflow, and a large deposition is formed 450 across a  $\lambda_2$  morphodynamic rarefaction (of low speed, opposing the inflow). 451 This deposition region terminates in a  $\lambda_3$  shock, which advances slowly in the 452 inflow direction. This bedform is reminiscent of the bed-step observed by [6], 453 and simulated by [21]. This depositional feature is then potentially available 454 to be transported / entrained should the inflow subsequently diminish. The 455 Riemann solution in this work can provide theoretical basis for shock-shock 456 interaction in the swash zone. 457

In real flows, the threshold of motion may have an effect on the wave structure after the dam collapse. However, for the class of flows in the swash region of fine sand, which motivates this work, the effect of a threshold is insignificant [21] (Appendix A). Therefore, the effect of threshold of motion is not investigated in the present work.

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### A Interpretation of wave structures in Sect. 3.1 by characteristics 467

Because  $\lambda' = \frac{\lambda}{\sqrt{h}}$  (see Fig. 2) is dependent only on F it is instructive to map each of the profiles in Fig. 5 onto it: see Fig. 8. 468

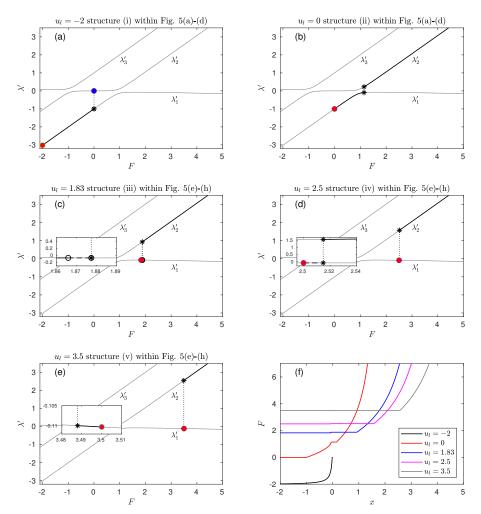


Fig. 8 (a)-(e): Illustrations of the Riemann solutions depicted in Fig. 5 in  $(\lambda', F)$  space as the solutions are traversed from left (indicated by the red filled circle) to right (indicated by the blue filled circle; note, however, that this circle is only visible for  $u_l = -2$ , because for other structures it is located in the limit  $F \rightarrow \infty$ . Dashed lines indicate jumps at shocks or semi-characteristic shocks.  $\circ$  separates the rarefaction and semi-characteristic shock of the same wave. Dotted lines with black \* represent jumps from different characteristic families of waves in star regions. (f): Illustration of how F varies across these solutions.

It can immediately be seen that each solution begins on the left at  $F = F_l$  on the  $\lambda'_1$ dispersion curve, and each solution will, at some point, jump to the  $\lambda'_3$  curve, and then 471 proceed to the right as  $F \to \infty$ , apart from structure (i), which terminates at F = 0. 472

<sup>469</sup> 470

The question is then at what point the jump occurs. In  $\lambda' - F$  space, this, along with 473 any other jump due to the presence of a shock, completely describes the solution. Note 474 that for structures (i)–(iv), h(u) is monotonic decreasing (increasing) across the Riemann 475 solution from left to right, notwithstanding the jump to zero u on the dry side. Therefore, 476 F is monotonic increasing for positive u. F could be increasing or decreasing for negative 477 u. However, for all the examined negative  $u_l$  values, F is monotonic increasing (Fig. 8(f)). 478 Further note that  $\frac{d\lambda'_1}{dF} = 0$  at  $F \approx 1.613$ ,  $\Rightarrow$  for F < 1.613,  $\lambda'_1$  increases for increasing F 479 480

(Fig. 2). For  $u_r = 0$  in structure (ii), the jump occurs for F < 1.613 (see Fig. 8). In this region 481  $\frac{d\lambda'_1}{dF} > 0$ ,  $\Rightarrow \frac{d\lambda_1}{dF} > 0$  too, because *h*, as noted, is monotonic decreasing and  $\lambda'_1 < 0$ . Therefore, the  $\lambda_1$  wave is a rarefaction. We mentioned in Sect. 3.1 that the  $\lambda_1$  wave is 482 483 a combination of a  $\lambda_{-}$  hydrodynamic wave and a morphodynamic wave, which can also 484 be seen from Fig. 5(b). The characteristics analysis that  $\frac{d\lambda_1}{dF} > 0$  is consistent with the analysis from physical perspective that when water depth decreases across the  $\lambda_-$  wave, it 485 486 is a rarefaction. 487

When F > 1.613, in contrast, we are in the region  $\frac{d\lambda'_1}{dF} < 0$ . Because  $\lambda'_1$  and h are both 488 decreasing it is not obvious whether  $\frac{d\lambda_1}{dF} \leq 0$  in each case. We notice that when F > 1, the  $\lambda_1$  ( $\lambda'_1$ ) characteristics behave as morphodynamic characteristics. It should be noted that 489 490  $\frac{d\lambda'_1}{dF}$  is a small value around F = 1.613, and according to Eq. (21) in Sect. 2.5 it is possible 491

492

that  $\frac{d\lambda'_1}{dF}$  and  $\frac{d\lambda_1}{dF}$  have different signs. The results show that for 1.613  $\leq u_l \leq 1.83$ ,  $\lambda_1$  increases across the  $\lambda_1$  wave, and 493 therefore the  $\lambda_1$  wave is a rarefaction fan (structure (ii)). In this case,  $\frac{d\lambda'_1}{dF} < 0$  and  $\frac{d\lambda_1}{dF} > 0$ . However, for a further increase in  $u_l$  we have  $\frac{d\lambda_1}{dF} > 0$  in some part of the  $\lambda_1$  wave, and  $\frac{d\lambda_1}{dF} = 0$ . 494 495  $\frac{d\lambda_1}{dF} < 0$  in the other part. The results show that when  $1.83 \leq u_l \leq 1.848$ ,  $\lambda_1$  characteristics 496 497 first diverge and then converge. Therefore, the  $\lambda_1$  wave is a combination of  $\lambda_1$  rarefaction and a  $\lambda_1$  semi-characteristic shock (structure (iii), e.g.,  $u_l = 1.83$  in Fig. 5(e)-(h)). This behaviour 498 can be seen in Fig. 8(c). The solution traverses a small section of the  $\lambda'_1$  dispersion curve 499 before a jump along that curve (the semi-characteristic shock) and then the jump to the  $\lambda'_3$ 500 501 curve. 502

When  $u_l$  increases further still,  $\frac{d\lambda_1}{dF} < 0$  has the same sign as  $\frac{d\lambda'_1}{dF}$ . When  $1.848 \leq u_l \leq 2.98$ , the  $\lambda_1$  characteristics converge, resulting in a  $\lambda_1$  shock (structure (iv), e.g.,  $u_l = 2.5$ ). 503 We can see this behaviour in Fig. 8(d), in which there is an immediate jump along the  $\lambda'_1$ 504 curve, followed by the jump to the  $\lambda'_3$  curve. 505

When  $u_l \gtrsim 2.98$ ,  $F_l > 1.613$  and  $h_* > 1$ . Across the  $\lambda_1$  wave, h increases and u decreases, 506 and F therefore decreases. However, across the  $\lambda_1$  wave, F> 1.613. As F decreases,  $\lambda'_1$ 507 increases (Fig. 5(e)), and therefore  $\lambda_1$  also increases. Thus the  $\lambda_1$  wave is a rarefaction 508 (structure (v), e.g.,  $u_l = 3.5$ ). The decreasing F results in the "reversal" of the path of the 509 510 wave in  $\lambda' - F$  space: see Fig. 8(e).

Finally, the results show that the  $\lambda_3$  wave is always a rarefaction fan, although it is not 511 immediately clear that  $\lambda_3$  increases from the relation  $\lambda_3 = \lambda'_3 \sqrt{h}$ . However, in the limit 512  $F \to \infty$ , Eq. (12) can be factorised such that  $\lambda'_3 \sim F$ ,  $\Rightarrow \lambda_3 \sim u$ . The  $\lambda_3$  wave is a  $\lambda_-$ 513 hydrodynamic wave, and it is a fan when h decreases from left to right. 514

#### B Interpretation of wave structures in Sect. 3.2.1 by characteristics 515

The Riemann solutions in Fig. 6 are mapped onto  $(\lambda', F)$  space in Fig. 9, as the solutions 516 are traversed from left to right. 517

The  $\lambda_1$  characteristics across the  $\lambda_1$  wave for varying  $u_l$  are not analysed here, because 518 they are very similar to those in the wet-dry problem in Sect. 3.1. However, we can see that 519 there is a difference in the  $\lambda_1$  wave type because of the difference between  $\mathbf{U}_{l*}$  and  $\mathbf{U}_{*}$ . 520

Across the  $\lambda_3$  wave, we have  $\frac{d\lambda'_3}{dF} > 0$ . In structure (i)-(ii), h and F both decrease. 521

- However, it is not clear whether  $\lambda_3 = \lambda'_3 \sqrt{h}$  increases or decreases because  $\lambda'_3 < 0$ . The 522
- $\lambda_3$  wave is a  $\lambda_+$  wave in structure (i) and a combination of the  $\lambda_+$  hydrodynamic wave 523

and morphodynamic wave in structure (ii). In structure (ii), the  $\lambda_+$  wave is more dominant. 524 Therefore, it is a shock because h decreases from left to right across the wave. 525

In structure (iii), F increases across the  $\lambda_3$  wave. The  $\lambda_3$  wave in structure (iii) is a 526 morphodynamic wave, and because F is close to 0 where  $\frac{d\lambda'_3}{dF} = 0$ ,  $\frac{d\lambda_3}{dF}$  could have different 527

signs from  $\frac{d\lambda'_3}{dF}$  (Sect. 2.5). The results show that  $\frac{d\lambda_3}{dF} < 0$ , and the  $\lambda_3$  wave is a shock. The  $\lambda_3$  wave in structure (iv)-(vi) is a  $\lambda_-$  wave or a combination of the  $\lambda_-$  hydrodynamic 528 529 wave and morphodynamic wave (Fig. 9). In structure (iv)-(v), h decreases and F increases 530

across the  $\lambda_3$  wave (Fig. 6(e) and Fig. 9(g)). Across the morphodynamic part of  $\lambda_3$  wave in 531 structure (iv)-(v),  $\frac{d\lambda_3}{dF} > 0$  has the same sign with  $\frac{d\lambda'_3}{dF}$  because it is not close to  $\frac{d\lambda'_3}{dF} = 0$  (i.e., F = 0). Thus, the morphodynamic part is a rarefaction. The  $\lambda_-$  wave is also a rarefaction because h decreases. Therefore, the  $\lambda_3$  wave is a rarefaction in structure (iv)-532 533 534 (v). However, in structure (vi), h increases across the  $\lambda_3$  wave (Fig. 6 (e)), and the  $\lambda_3$  wave 535 536 is a shock.

In structure (i)-(ii), the  $\lambda_2$  wave is a combination of  $\lambda_+$  hydrodynamic wave and mor-537 phodynamic wave. As water depth increases from left to right, the  $\lambda_+$  wave is a rarefaction. 538 However, as F is close to -1.613 where  $\frac{d\lambda'_2}{dF} = 0$ , the morphodynamic part  $\frac{d\lambda_m}{dF}$  changes its sign. The morphodynamic part is a combination of a rarefaction and a semi-characteristic 539 540 in structure (i) and a rarefaction in structure (ii). Therefore, the  $\lambda_2$  wave is a combination 541 542 of a rarefaction and a shock in structure (i), and a rarefaction in structure (ii).

We know that  $\frac{d\lambda'_2}{dF} > 0$  when  $F \gtrsim -1.613$ , and  $\frac{d\lambda'_2}{dF} < 0$  when  $F \lesssim -1.613$ . In structure (iii)-(vi), h and F decrease across the  $\lambda_2$  wave with F > -1.613. Therefore,  $\lambda'_2$ 543 544 and  $\lambda_2 = \lambda'_2 \sqrt{h}$  both decrease, and the  $\lambda_2$  wave is a shock. From the physical perspective, 545 the  $\lambda_2$  wave in structure (iii)-(vi) is a  $\lambda_+$  wave, and it is a shock when h decreases. 546

#### C Interpretation of wave structures in Sect. 3.2.2 by characteristics 547

The Riemann solutions in Fig. 7 are mapped onto  $(\lambda', F)$  space in Fig. 10, as the solutions 548 are traversed from left to right. We can see the black solid line starts from  $F_{l}$  along the 549  $\lambda'_1$  curve, and ends at  $F_r$  on the  $\lambda_2$  curve, with a jump between  $\lambda'_1$  and  $\lambda'_3$  ( $\lambda'_3$  and  $\lambda'_2$ ) 550

curves through the left (right) star region. 551

In structure (i)-(ii), across the  $\lambda_{1,3}$  wave, h increases, u decreases and F decreases 552 (Fig. 10(f)). Therefore,  $\lambda'_1$  decreases. We can deduce that  $\lambda_1$  decreases from  $\lambda_1 = \lambda'_1 \sqrt{h}$ 553 554 because  $\lambda'_1 < 0$ , and the  $\lambda_1$  wave is a shock. The  $\lambda_1$  wave is a  $\lambda_-$  hydrodynamic shock, and it is a shock when water depth increases from left to right. 555

In structure (i)-(ii),  $\lambda'_3 < 0$  decreases as F decreases across the  $\lambda_3$  wave (Fig. 10(a)-(c)). 556 In structure (i), the  $\lambda_3$  wave is a combination of  $\lambda_+$  hydrodynamic wave and morphody-557 namic wave. Because h decreases, the hydrodynamic wave in structure (i) is a shock. The 558 559

morphodynamic part is overtaken by the hydrodynamic shock, and the  $\lambda_3$  wave in structure (i) is a shock. While in structure (ii), it is a morphodynamic wave, and  $\frac{d\lambda_3}{dF}$  has the same 560

sign as that of  $\frac{d\lambda'_3}{dF}$  resulting in a  $\lambda_3$  shock. 561

The  $\lambda_2$  wave in structure (i) is a morphodynamic wave. Therefore  $\frac{d\lambda_2}{dF} < 0$  because  $\frac{d\lambda'_2}{dF} < 0$  and F is far from -1.613 where  $\frac{d\lambda'_2}{dF} = 0$ . When F decreases,  $\lambda_2$  increases, and hence it is a rarefaction. In structure (ii), the  $\lambda_2$  wave is a combination of the  $\lambda_+$  wave 562 563 564 and morphodynamic wave with  $|F_{*r}| \ll |F_r|$  and  $\lambda'_{2*r} > \lambda'_{2r}$  (Fig. 10(c)). Water depth 565 566 decreases from left to right across the  $\lambda_+$  wave, so it is a shock.

In structure (iii)-(iv) (e.g.,  $u_r = 0$ , 1.5), h decreases and u and F increase across the 567  $\lambda_1$  wave (Fig. 10(d)-(e)). Similar to the wet-dry dam-break solution, the  $\lambda_1$  and  $\lambda_3$  waves 568 are both rarefactions. 569

In structure (iii), across the  $\lambda_2$  wave, if it is a rarefaction, h decreases, u decreases and 570 F decreases (Fig. 7(e)-(f) and Fig. 10(f)). Therefore  $\lambda'_2$  decreases because  $F \gtrsim -1.613$ 571 (Fig. 10), and therefore  $\lambda_2$  is a shock. However, in structure (iv), across the  $\lambda_2$  wave, h increases, u increases and F decreases (Fig. 10(f)), and therefore  $\lambda'_2$  decreases. It is not clear 572

573 whether  $\lambda_2$  should increase or decrease across the  $\lambda_2$  wave. From the physical perspective, 574

the  $\lambda_2$  wave is a  $\lambda_+$  hydrodynamic wave, and it is a rarefaction if water depth increases from left to right. The results show that  $\lambda_2$  increases across the  $\lambda_2$  wave, and it is a rarefaction.

## <sup>577</sup> D Numerical investigation of wave structures for $u_r = -1.933$ and <sup>578</sup> $u_r = -1.933$ in Sect. 3.2.2

We solve the Riemann problem from right to left. The difference  $(\delta B)$  between the calculated 579  $(B_{lc})$  and the already known  $(B_l)$  bed levels in the left constant region, i.e.,  $\delta B = B_{lc} - B_l$ , 580 is plotted against  $h_{r*}$  for various  $u_r$  values in Fig. 11. As mentioned, the two bed levels ( $B_{lc}$ 581 and  $B_l$ ) must agree within the desired accuracy, and  $\delta B = 0$  corresponds to the possible 582 physical solution for  $h_{r*}$ . From the plot, we can see that when  $u_r \gtrsim -1.933$ , there are 583 three roots, and the largest root is the physical solution to  $h_{r*}$ . As  $u_r$  decreases,  $h_{r*}$  also 584 decreases (see Fig. 11), and the two roots coalesce around 0.65 when  $u_r \approx -1.933$ . When 585  $u_r \lesssim -1.933$ , there is only one root, which is < 0.1. However, the  $\lambda_2$  shock corresponding to 586 this root, is unphysical because of divergence of  $\lambda_2$  characteristics. Therefore, the  $\lambda_2$  wave 587 588 becomes a rarefaction when  $u_r \leq -1.934$ .

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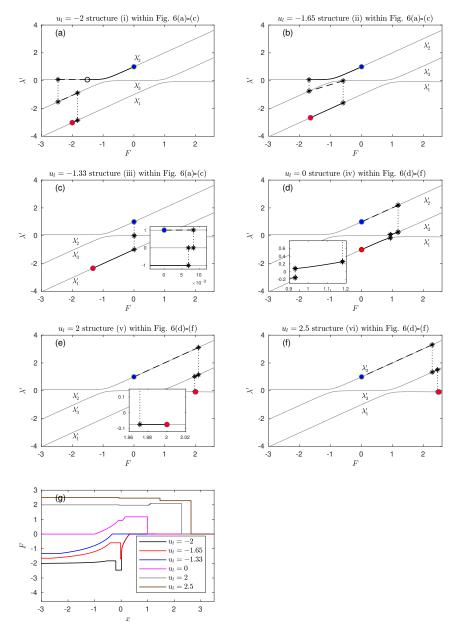
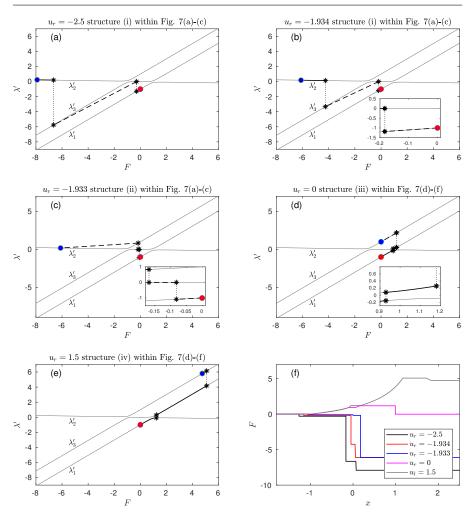


Fig. 9 (a)-(f): Illustrations of the Riemann solutions depicted in Fig. 6 in  $(\lambda', F)$  space as the solutions are traversed from left (indicated by the red filled circle) to right (indicated by the blue filled circle). Dashed lines indicate jumps at shocks or semi-characteristic shocks.  $\circ$  separates the rarefaction and semi-characteristic shock of the same wave. Dotted lines with black \* represent jumps from different characteristic families of waves in star regions. (g): Illustration of how F varies across these solutions.



**Fig. 10** (a)-(e): Illustrations of the Riemann solutions depicted in Fig. 7 in  $(\lambda', F)$  space as the solutions are traversed from left (indicated by the red filled circle) to right (indicated by the blue filled circle). Dashed lines indicate jumps at shocks or semi-characteristic shocks.  $\circ$  separates the rarefaction and semi-characteristic shock of the same wave. Dotted lines with black \* represent jumps from different characteristic families of waves in star regions. (f): Illustration of how F varies across these solutions.

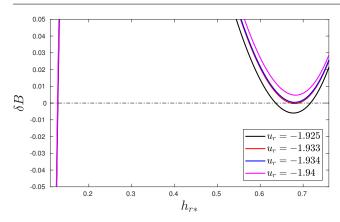


Fig. 11 Difference  $(\delta B)$  between the calculated and the already known bed levels in the left constant region as a function of water depth in the right star region  $(h_{r*})$  for various  $u_r$  values.